



# Probing the Milky Way Stellar and Brown Dwarf Initial Mass Function with Modern Microlensing Observations

Gilles Chabrier<sup>1,2</sup>  and Romain Lenoble<sup>1</sup><sup>1</sup> Ecole normale supérieure de Lyon, CRAL, Université de Lyon, UMR CNRS 5574, F-69364 Lyon Cedex 07, France; [chabrier@ens-lyon.fr](mailto:chabrier@ens-lyon.fr), [romain.lenoble@ens-lyon.fr](mailto:romain.lenoble@ens-lyon.fr)<sup>2</sup> School of Physics, University of Exeter, Exeter, EX4 4QL, UK

Received 2022 July 19; revised 2022 December 21; accepted 2022 December 21; published 2023 February 15

## Abstract

We use recent microlensing observations toward the central bulge of the Galaxy to probe the overall stellar plus brown dwarf initial mass function (IMF) in these regions well within the brown dwarf domain. We find that the IMF is consistent with the same Chabrier IMF characteristic of the Galactic disk. In contrast, other IMFs suggested in the literature overpredict the number of short-time events, and thus of very low mass stars and brown dwarfs, compared with observations. This again supports the suggestion that brown dwarfs and stars predominantly form via the same mechanism. We show that claims for different IMFs in the stellar and substellar domains arise from an incorrect parameterization of the IMF. Furthermore, we show that the IMF in the central regions of the bulge seems to be bottom-heavy, as illustrated by the large number of short-time events compared with the other regions. This recalls our previous analysis of the IMF in massive early-type galaxies and suggests the same kind of two-phase formation scenario, with the central bulge initially formed under more violent, burst-like conditions than the rest of the Galaxy.

*Unified Astronomy Thesaurus concepts:* [Stellar mass functions \(1612\)](#); [Initial mass function \(796\)](#)

## 1. Introduction

The quest for an accurate determination of the stellar initial mass function (IMF) over the entire star plus brown dwarf (BD) domain remains one of the most fundamental questions of astrophysics. Indeed, the IMF, i.e., the number of stars formed per (logarithmic) mass interval, determines the energetic and chemical evolution of the universe, as well as its baryonic content. It is now widely agreed that the IMF turns over below about  $\sim 0.6 M_{\odot}$  compared with the historical Salpeter (1955) IMF (Kroupa 2001; Chabrier 2003, 2005). Similarly, the IMF seems to be reasonably similar in different environments, the Galactic field, star-forming regions, and young clusters as long as the conditions (mean temperature and density, large-scale velocity dispersion) resemble those of the Milky Way (MW; see, e.g., Bastian 2010). Only under extreme conditions of density and turbulence, as encountered, for instance, in massive early-type galaxies (ETGs) or starburst regions, does the IMF seem to depart from this universal behavior and become more bottom-heavy (e.g., Treu et al. 2010; Cappellari et al. 2012; Conroy & van Dokkum 2012; van Dokkum & Conroy 2012; Barbosa et al. 2020; Smith 2020; Gu et al. 2022, and references therein). Even though no explanation can yet be considered as definitive to explain this behavior, the combined impact of unusual density and accretion-induced compressive turbulence at least provides a plausible explanation (Hopkins 2013; Chabrier et al. 2014a).

Microlensing experiments provide a powerful tool to probe the IMF, notably in the BD regime. Indeed, microlensing experiments are independent of the usual photometric or integrated spectroscopic approaches and of model-dependent mass–effective temperature or mass–luminosity relationships. Furthermore, one of the advantages of microlensing over

photometric surveys is that only binaries with separations of less than a few astronomical units are unresolved, making the impact on the mass of individual objects more limited. Finally, the timescale  $t_E$  of a microlensing event is proportional to the square root of the mass of the lens,  $\sqrt{M}$ , which favors the detection of low-mass objects, although at the expense of a cross section that also scales as  $\sqrt{M}$ .

Recently, the Optical Gravitational Lensing Experiment (OGLE-III, Wyrzykowski et al. 2015; and OGLE-IV, Udalski et al. 2015; Mróz et al. 2017, 2019) has been regularly monitoring thousands of square degrees of the densest stellar regions of the sky containing over a billion objects. The OGLE-IV project consists of a series of long-term sky surveys covering the Galactic center (GC), Magellanic System (Magellanic Cloud and Magellanic Bridge), and Galactic disk with over 2000 gravitational microlensing events per year, offering a unique statistical source. We will use these new data to probe the IMF, notably its extension into the BD regime, in the Galactic disk and bulge.

## 2. The Galactic Bulge

The Galactic bulge (generally defined as a barred central structure at  $||l|| < 10^{\circ}$ ,  $||b|| < 7^{\circ}$ ) offers a unique opportunity to probe the stellar and BD IMF. It is observationally established that bulge stars are  $\alpha$ -enhanced with respect to the Sun, suggesting that most of the early star formation in the inner part of the Galaxy, the bulge and inner disk, occurred rapidly (McWilliam & Rich 1994; Clarkson et al. 2008; Calamida et al. 2015). Indeed, the chemodynamical patterns of the bulge suggest that most of its stars formed early in a rapid star formation event, probably in a disk that later buckled into a boxy bar (see Barbuy et al. 2018 for a recent review). While the stellar population of the bulge indeed appears to be predominantly old ( $\sim 9$ – $10$  Gyr) and approximately solar in metal abundance (Clarkson et al. 2008;



Original content from this work may be used under the terms of the [Creative Commons Attribution 4.0 licence](#). Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

Renzini et al. 2018; Hasselquist et al. 2020), the existence of a younger (age  $\sim 2\text{--}5$  Gyr) metal-rich ( $[\text{Fe}/\text{H}] > 0.2$ ) population has been recently revealed (Bensby et al. 2017 and references therein; Zoccali 2019; Hasselquist et al. 2020). These findings suggest that the bulge experienced an initial starburst, followed by more quiescent star formation at supersolar metallicities in a disk until about 2–4 Gyr ago. The bulge may thus harbor (at least) two populations, produced by two distinct star-forming episodes, identified by their distinct  $[\text{Fe}/\text{H}]$  and  $[\alpha/\text{Fe}]$  values (see, e.g., Barbu et al. 2018, Section 4.4; Queiroz et al. 2021). One of them has supersolar metallicity and is arranged in a bar plus a thin component out to about  $\sim 5$  kpc, confined in the plane. Another  $[\alpha/\text{Fe}]$ -rich, metal-poor component, located at  $R_{\text{Gal}} \lesssim 2\text{--}3$  kpc, has a shape close to a spheroid, higher dispersion, and little or no rotation (e.g., Queiroz et al. 2021). Although its origin is not clear, it might be the result of a violent accretion phase at the early stage of the formation of the Galaxy phase, which triggered vigorous star formation (Queiroz et al. 2021). This is consistent with the recent analysis of the RR Lyrae stars in the bulge spheroid, with an age of  $\sim 13$  Gyr (Savino 2020). The bulge formation history will be discussed further in Sections 5.2.2 and 6.

The most recent attempt to infer the IMF in the Galactic bulge from microlensing events is from Wegg et al. (2017), based on the dynamical model of the bulge, bar, and inner disk of the MW of Portail et al. (2017). Wegg et al. (2017) used the microlensing events released by OGLE-III (Wyrzykowski et al. 2015), which included a sample of 3718 events. These authors found that the IMF of the inner Galaxy is consistent with the one measured locally (Kroupa 2001; Chabrier 2005). These results, however, need to be examined further. Indeed, although throughout most of the stellar regime, the Chabrier (2003, hereafter C03), Chabrier (2005, hereafter C05), and Kroupa (2001, hereafter K01) IMFs are similar, the C05 one differs significantly from the other two near and below the bottom of the main sequence, i.e., in the BD regime. Both the C03 and K01 IMFs predict a much larger number of very low mass stars and BDs than the C05 IMF, notably near the H-burning limit (see Figure 3 of C05). We will come back to this point in Section 3.

Determining which (if any) of the C03, K01, or C05 IMFs is correct is of prime importance for two reasons. First, this has an immediate consequence on the total census of BDs in the MW (see C05). Second, the inconsistency between the observed number of BDs and the one predicted by the K01 IMF is often used as an argument to invoke a different formation mechanism between stars and BDs. In contrast, a (C05) IMF extending smoothly from the stellar to the BD domain adequately reproduces the observed BD distributions and BD/star ratios of various young clusters (e.g., Damian et al. 2021), whereas the K01 IMF has a BD fraction more than twice this value (e.g., C05; Parravano et al. 2011; Andersen 2008); this suggests a common dominant formation mechanism between stars and BDs (see Chabrier et al. 2014b for a review). For two reasons, the OGLE-IV microlensing observations (Mróz et al. 2017, 2019) offer a unique possibility to resolve this question. First, OGLE-IV observed many more fields and thus obtained much larger statistics. Indeed, OGLE-IV covers 121 fields for a total of  $N_s = 400 \times 10^6$  sources. It detected about 20,000 microlensing events in total, of which

$N_{\text{ev}} = 8002$  events were retained in the final event rate and optical depth maps. Second, the OGLE-IV bulge observations were overall conducted at a higher cadence than OGLE-III, including about  $12 \text{ deg}^2$  with cadences  $\Gamma \gtrsim 1 \text{ hr}^{-1}$ , which were capable of detecting objects throughout the BD regime and, indeed, below it (Mróz et al. 2017).

### 3. The Mass Function

The mass function was originally defined by Salpeter (1955) as the number density,  $n = N/V$ , of stars per logarithmic mass interval,  $\xi(\log m) = dn/d \log m$ . The mass spectrum, defined as the number density of stars per mass interval,  $\xi(m) = dn/dm$ , is also often, abusively, called mass function in the literature, with the obvious relation  $\xi(m) = \xi(\log m)/(m \ln 10)$ .

In the present calculations, we will compare the microlensing results obtained with four different commonly used IMFs, namely, those of K01; Awiphan et al. (2016, A16), which is usually used in the Besançon Galactic synthetic model; and C03 and C05. These IMFs are described in Table 1 and portrayed in Figure 5 in Appendix B. Note that the A16 IMF is very similar to the K01 IMF below about  $1 M_{\odot}$ . The C03 or K01 IMFs start to differ significantly from the C05 IMF only below  $\lesssim 0.4 M_{\odot}$ . The main reason for this difference, aside from the different functional forms, is that both the C03 and K01 IMFs have been calculated from the V-band 5 pc luminosity function of Henry & McCarthy (1990). The C05 IMF is based on a more recent observed sample in the J band, a much more appropriate band for cool objects, of Reid et al. (2002), determined from the Two Micron All Sky Survey (2MASS) 20 pc infrared luminosity function of late-type stars. This difference notably affects the normalization of the IMF at the H-burning limit (i.e., the star–BD boundary). The K01 IMF, for instance, predicts about 2.5 times more objects at the star–BD limit than observed in the 2MASS sample. Whereas the difference between these three IMFs only moderately affects the stellar domain ( $\gtrsim 0.1 M_{\odot}$ ), it yields different distributions in the BD regime (see Figure 3 of C05). Note that, given the small binary fraction in the BD domain ( $< 20\%$ ), the C05 IMFs for individual objects or unresolved systems yield similar results (Figure 5 of C05).

In the present context of microlensing calculations, the mass spectrum  $\xi(m)$  directly enters the effective probability  $P_{\text{eff}}(m) \propto \frac{\sqrt{m}}{m} \xi(m)$  (see Equation (A15)). The mass function itself can be considered as a probability density function  $P(m) = \xi(m)/n_{\text{tot}}$  for a lens to have a mass  $m \in [m, m + dm]$  and thus a probability density  $\int_{m_{\text{inf}}}^{m_{\text{sup}}} P(m) dm = 1$ , i.e.,  $\int_{m_{\text{inf}}}^{m_{\text{sup}}} \xi(m) dm = n_{\text{tot}}$  and  $\frac{1}{n_{\text{tot}}} \int_{m_{\text{inf}}}^{m_{\text{sup}}} m \xi(m) dm = \langle m \rangle$ , where the normalization  $n_{\text{tot}}$  is determined by the total number density of starlike objects between  $m_{\text{inf}}$  and  $m_{\text{sup}}$  at a given location in the Galaxy. In the present calculations, we take  $n_{\text{tot}} = 1$ , while the normalization is given by the Galactic mass density at a given point (see Appendix A.2).

## 4. Fiducial Galactic Model

### 4.1. Mathematical Framework

Our microlensing calculations proceed as in Méra et al. (1998, MCS98), although with some differences, and are summarized in Appendix A. The integral (Equation (A24)) for the event rate is calculated with a Monte Carlo integration

method (see [MCS98](#), Equation (A48)). Each simulation was carried out with  $10^7$  realizations for each field. The limits of the integral of the optical depth and the event rate for the distance of the source (Equations (A22) and (A24)) were chosen as  $(D_{\min}, D_{\max}) = (0.8, 20)$  kpc. Extending these limits is inconsequential. Indeed, the density for  $D < 800$  pc is very small compared with the one of the bulge (Kiraga & Paczyński 1994; Peale 1998), and, given the exponentially decreasing disk and bulge densities, the results become essentially insensitive to  $D_{\max}$  beyond 20 kpc (less than 0.1% variation on the optical depth  $\tau$ ). The transverse velocity of the lens,  $v_{\perp}$ , drawn randomly from the Monte Carlo algorithm, is determined by the velocity distribution of the region the lens belongs to, namely, the thin disk, thick disk, or bulge. The limit value for the transverse velocity (Equation (A17)) is taken to be  $10^3 \text{ km s}^{-1}$ . The minimum and maximum masses of the mass function  $\xi(m)$  are chosen to be  $M_{\min} = 0.01$  and  $M_{\max} = 100 M_{\odot}$ , respectively. We have checked that taking  $M_{\min} = 0.001 M_{\odot}$  does not change the results.

As detailed in Appendix A, we take into account in our calculations the motion of the Sun (see Equation (A20) of [MCS98](#)) and the source star in the determination of the lens velocity, as well as the variation of the distance of the source stars in the disk and bulge (see Appendix A.5). The density of the lenses and sources is the sum of the disk+bulge densities (see Appendix C.4).

In very crowded fields, such as those observed toward the GC, the observed objects can be a blend of several stars. This blending effect used to be a major source of concern for the interpretation of microlensing searches. Modern surveys, however, are much less sensitive to source blending. The OGLE experiments exclude the very blended events by using the selection criterion  $f_s > 0.1$  (i.e., more than 10% of the baseline flux comes from the source), where  $f_s$  is the blending parameter ( $f_s = 1$  corresponds to no blending, whereas  $f_s \rightarrow 0$  corresponds to very strong blending). The timescales reported in Mróz et al. (2017, 2019) are from their five-parameter fitting procedure of the flux  $F_i$  at time  $t_i$  and thus are corrected for blending (see Mróz et al. 2017, 2019 and Figure 3 of Mróz et al. 2020 for details). These blending corrections have been included in the final OGLE detection efficiency calculations, so the timescale histograms presented in their paper and used in the present paper for comparisons with our theoretical determinations are corrected for blending. Therefore, taking into account source blending in the simulations seems to be no longer necessary when comparing with the recent OGLE observations. While highly blended events whose blending parameter is less than  $f_s < 0.1$  have thus been excluded in the OGLE final samples, it is acknowledged by OGLE that their long-timescale events remain affected by some bias (Wyrzykowski et al. 2015, Section 5.1). This is obvious from the lower panel of Figure 11 of that paper; while  $t_E$  is constant for all events for  $f_s > 0.2$ , it keeps increasing below about this value for the long-time events. In contrast, as stated by these authors, there is hardly any event with  $t_E$  shorter than 15 days at very small  $f_s$ . Then, only events longer than about 20 days remain affected by some bias. Following Wegg et al. (2017), we will thus only consider events with a blending proportion of  $f_s > 0.2$  for the comparison between the model and the OGLE-IV all-fields data to ensure that there is almost no bias in the measured timescales (Section 5.2.1).

## 4.2. Galactic Model

We consider a standard Galactic model, which includes a thin disk, a thick disk, and a bulge. For the observations toward the GC, the contributions from the spheroid or halo are negligible, given their very small local normalization. The IMF of our fiducial model is based on the [C05](#) IMF. We stress that the aim of the present paper is not to get the best possible Galactic model, as explored, for instance, in Portail et al. (2017) with complete 3D dynamical simulations, but to determine the accuracy of the main IMF models used in Galactic modeling. For such a study, the parameterized Galactic model described below is sufficient.

### 4.2.1. Bulge

The bulge is the central part of the Galaxy and the inner part of the bar. The parameters of the bar, however, remain uncertain. Although it is known to be in the Galactic plane, its angle  $\phi$  with the axis Sun–GC is uncertain. Our fiducial model is the one of Dwek et al. (1995, model G2, their Table 1), whose parameters are derived from the COBE data at  $2.2 \mu\text{m}$  for the bulge density:

$$\rho(x, y, z) = \rho_{0_b} \exp\left(-\frac{r_s^2}{2}\right) \quad (1)$$

$$\text{with: } r_s = \left[ \left( \left( \frac{x}{x_0} \right)^2 + \left( \frac{y}{y_0} \right)^2 \right)^2 + \left( \frac{z}{z_0} \right)^4 \right]^{1/4}, \quad (2)$$

where  $(x, y, z)$  indicate the three main axes of the bar ( $x$  is along the bar length and points toward  $(l, b) = (\phi, 0^\circ)$ ). The major axis is 1.58 kpc (from the observations at  $2.2 \mu\text{m}$ ), and the axis ratios  $x_0:y_0:z_0$  for the bar are found to be  $1:0.33 \pm 11:0.23 \pm 0.08$ , but the angle is ill constrained. The normalization constant  $\rho_{0_b}$  is determined from fitting the observed intensity  $I(l, b)$  converted from luminosity density to mass density (see Dwek et al. 1995 for details). This model has been used by Calchi Novati et al. (2008) and Iocco et al. (2011). It should be borne in mind, however, that this model does not consider the most central part of the bulge ( $|b| < 3^\circ$ ) because of the unknown correction for dust absorption in this region. As will be seen in Section 5.2.2, this uncertainty may be consequential when examining the OGLE central fields. The bar is considered to be in rigid rotation with  $\Omega_{\text{bar}} = 39 \pm 3.5 \text{ km s}^{-1} \text{ kpc}^{-1}$  (Portail et al. 2017) with a corotation radius  $R_{\text{cor}} = 6.1 \pm 0.5 \text{ kpc}$  (Navarro 2017; Portail et al. 2017). The stellar mass of the bulge is taken to be  $M_{\text{bulge}} = 1.88 \times 10^{10} M_{\odot}$  (including the presence of a “photometric” nonaxisymmetric long bar; Portail et al. 2017), and the angle of the bar  $\phi = 28^\circ$  (Wegg & Gerhard 2013; Wegg et al. 2015). This bulge model is in good agreement with the one derived recently by using OGLE-IV  $\delta$  Scuti stars (Deka et al. 2022). A more thorough comparison between these two models is given in Appendix C.5.

Observations by Gaia (Nataf et al. 2013) show that, in contrast to older studies, the velocity dispersion in the bulge is substantially anisotropic and depends on the position within the bar. Based on these observations, our referee (private communication) provided us with the velocity dispersion for seven positions at  $l \in [-6^\circ, +6^\circ]$ , all at  $b = -2^\circ$ . While  $\sigma_{\text{bar}}(l)$  always remains close ( $\lesssim 10\%$ ) to the value of the Baade

window,  $\sigma_{\text{BW}} = 110 \text{ km s}^{-1}$ , the dispersion  $\sigma_{\text{bar}_b}(l)$  is found to be about 20% lower at  $l = \pm 6^\circ$  compared to  $l = 0^\circ$ , with  $\sigma_{\text{bar}_b} \simeq 80 \text{ km s}^{-1}$ , yielding an axis ratio  $\sigma_{\text{bar}_r}/\sigma_{\text{bar}_b} \simeq 0.8$  at these longitudes. In order to take this anisotropy into account for a proper analysis of the event timescale distribution, we have linearly interpolated the values of  $\sigma_{\text{bar}(l)}$  and  $\sigma_{\text{bar}(b)}$  as functions of  $l$  in the table provided by the referee. However, we found that, at least for the fields observed by OGLE-IV (see Section 5.2.1), the event timescale distribution obtained with this correction remains very similar to the one obtained with an isotropic velocity dispersion,  $\sigma_{\text{bar}} = 110 \text{ km s}^{-1}$  (see Appendix C.3).

#### 4.2.2. Thin and Thick Disks

The model for the (stellar) thin and thick disks is the double exponential model of Bahcall & Soneira (1980),

$$\rho(R, z) = \rho_{0_d} \exp\left(-\frac{R}{R_D} - \frac{|z|}{H}\right), \quad (3)$$

with a scale length  $R_D = 3 \text{ kpc}$  and scale heights  $H = 250$  and  $760 \text{ pc}$  for the thin and thick disk, respectively, within the  $\sim 20\%$  uncertainty of the values inferred from the Sloan Digital Sky Survey (Jurić et al. 2008). This is similar to the model used in Portail et al. (2017). The value  $\rho_{0_d} = \rho_\odot \exp(R_0/R_D)$  is the stellar mass density normalization in the solar neighborhood, with  $\rho_\odot = 0.05 M_\odot \text{ pc}^{-3}$  for the thin disk and about 1/20 this value for the thick disk (Méra et al. 1998; Jurić et al. 2008);  $R_0 = 8.2 \text{ kpc}$  is the galactocentric position of the Sun (Brunthaler et al. 2011), in agreement with the results of the Gravity Collaboration (2021); and  $z_\odot = 26 \pm 3 \text{ pc}$  is its location with respect to the plane (Majaess et al. 2009). The total mass of the disk, including the gas, in this model is  $M_d = 5 \times 10^{10} M_\odot$ .

As mentioned above and detailed in Appendix A, we take into account in our Monte Carlo calculations the motion of the Sun and the source star in the determination of the lens velocity, as well as the variation of the distance of the source stars in the disk and bulge (which can be larger than  $R_0$ ). The Sun velocity with respect to the disk motion is  $U_\odot = 11.1$ ,  $V_\odot = 12.24$ , and  $W_\odot = 7.25 \text{ km s}^{-1}$  (Brunthaler et al. 2011).

The density of the lenses and sources is the sum of the disk +bulge densities (Equations (A7) and (A25)).

The rotation velocity of the Galaxy is taken from Brand & Blitz (1993),

$$V_{\text{rot}}(R) = \Theta_0 \times \left[1.00762 \left(\frac{R}{R_0}\right)^{0.0394} + 0.00712\right], \quad (4)$$

with a rotation velocity for the local standard of rest,  $\Theta_0 = 239 \pm 7 \text{ km s}^{-1}$ , from very long baseline interferometry observations of maser sources by Brunthaler et al. (2011). As shown by these authors, the value  $\Theta_0 = 200 \text{ km s}^{-1}$  recommended by the IAU can be ruled out with high confidence. However, in Appendix C.3, we examine the effect of using a lower value, namely,  $\Theta_0 = 220 \text{ km s}^{-1}$ , which has been used in some models. As seen in Table 1 of Appendix C.3, the impact is quite modest.

The velocity dispersions around this mean velocity are well described by a Gaussian distribution. We use the radial, tangential, and perpendicular velocity dispersions of the thin and thick disk ellipsoid velocities determined by Pasetto et al.

(2012a, 2012b):

$$\begin{aligned} \sigma_r^{\text{thin}} &= 27.4 \pm 1.1 \text{ km s}^{-1}, & \sigma_r^{\text{thick}} &= 56.1 \pm 3.8 \text{ km s}^{-1}, \\ \sigma_\theta^{\text{thin}} &= 20.8 \pm 1.2 \text{ km s}^{-1}, & \sigma_\theta^{\text{thick}} &= 46.1 \pm 6.7 \text{ km s}^{-1}, \\ \sigma_z^{\text{thin}} &= 16.3 \pm 2.2 \text{ km s}^{-1}, & \sigma_z^{\text{thick}} &= 35.1 \pm 3.4 \text{ km s}^{-1}. \end{aligned} \quad (5)$$

The radial dependence of these disk velocity dispersions for Galactic distances interior to the Sun is taken into account as

$$\sigma_{r,\theta,z}(R) = \sigma(R)_{r,\theta,z} \times [\Sigma(R)/\Sigma(R_0)]^{1/2}, \quad (6)$$

where  $\Sigma(R)$  denotes the disk surface density, as given by the model.

Other Galactic models have been proposed in the literature. In Appendix C, we examine the impact of various parameters and different models on the event characteristics, notably the histogram distribution. As seen from the table and figures in the appendix, the parameters appear to be rather well constrained, and the impact of the uncertainties in the Galactic model on the optical depth and microlensing event distribution can be considered as rather modest, of the order of the observational uncertainties. As examined in Section 5.2, these variations are smaller than those due to the different mass functions. Similarly, the normalization of the histogram, and thus the event rate  $\Gamma$ , depends significantly on the number of stars along the line of sight (i.e., the shape of the bulge, angle  $\phi$  of the bar, or disk/bulge fraction). The proper normalization can be determined by comparing the theoretical optical depth with the measured one. As will be examined in Section 5, the one obtained with our fiducial model is in good agreement with the latter.

#### 4.2.3. Remnant Stellar Populations

When doing the microlensing calculations toward the bulge, one must take into account the population of stellar remnants, i.e., bulge stars now in the form of white dwarfs (WDs), neutron stars (NSs), and black holes (BHs). Given the age of the bulge,  $\sim 10 \text{ Gyr}$ , this essentially concerns all stars initially born with  $m \gtrsim 1 M_\odot$ . We have considered two models for this population, namely, Gould (2000) and Maraston (1998). Whereas the predictions for WDs and NSs are similar for these two models, they differ for the BHs; while Gould (2000) assumed a dispersion around  $5 M_\odot$ , Maraston (1998) took masses in the range  $20\text{--}50 M_\odot$ . It is now well determined that BHs have typical masses around  $10 M_\odot$  (e.g., Sahu et al. 2022). However, BHs represent a negligible fraction of starlike objects ( $< 1\%$ ), so their impact on the event (mass) distribution is negligible.

#### 4.2.4. Binaries

The typical Einstein radius of microlensing events toward the bulge is  $\sim 2 \text{ au}$ . Binaries with smaller separations are not resolved and thus affect the mass determination of the lens and IMF. Such events generally cannot be fitted accurately by the single lens model and have been removed from the OGLE sample (Wyrzykowski et al. 2015). The fraction of events affected by this bias is about 6% (Sumi et al. 2013), which yields a factor of 1.09 on the optical depth (Sumi et al. 2013; Mróz et al. 2019). The impact on the  $t_E$  histogram (as well as various other specific biases) has been estimated to be  $\lesssim 10\%$  (Glicenstein 2003). Performing a more detailed analysis based on population synthesis, Wegg et al. (2017) found that the

correction due to binaries does not provide sufficient information to distinguish the different IMF signatures.

## 5. Comparison with Observations

We have compared our calculations, performed with  $10^7$  realizations for each field in every simulation, to the microlensing results obtained in the OGLE-IV observations (Mróz et al. 2019). The latter cover 121 fields located toward the Galactic bulge ( $|b| \leq 7^\circ$ ,  $|l| \leq 10^\circ$ ) for a total of about  $160 \text{ deg}^2$  and a total exposure of  $E = N_s \times T_{\text{obs}} = (400 \times 10^6) \times 8 = 32 \times 10^8$  star-yr, revealing 8000 microlensing events in their final event rate and optical depth maps (Mróz et al. 2019). OGLE-IV has superseded the results obtained previously with OGLE-III (Wyrzykowski et al. 2015), which detected 3718 events for a total exposure of  $1.2 \times 10^8$  star-yr. Furthermore, OGLE-III does not provide the number of monitored stars in the fields, precluding a determination of the rate of events and thus an accurate comparison of the observed and theoretical event distributions. The data and efficiencies were kindly provided by Przemek Mróz.<sup>3</sup> We have also made comparisons with the results of the (revised) MOA II survey (Sumi & Penny 2016), which detected 474 events for a total exposure of  $0.22 \times 10^8$  star-yr. It must be noted that these observations do not represent a comprehensive list of OGLE-IV events, as they do not include the most central Galactic fields. The latter (observed with higher cadences) have been published separately (Mróz et al. 2017) and will be examined in Section 5.2.2. Similarly, they do not include the OGLE-IV events in the Galactic plane (Mróz et al. 2020), to be examined in Section 5.2.3. Recently, the first catalog of Gaia microlensing events from all over the sky was released (Wyrzykowski et al. 2015). They detected 363 events, and the comparison of timescales reveals generally good agreement with the measurements of OGLE mentioned above. However, besides the low statistics, the sample is found to be significantly incomplete for the bulge region ( $\lesssim 30\%$ ), notably for short timescales, and thus cannot presently be used for detailed comparisons.

In order to compare our model with the truly observed data, the experimental efficiency is straightforwardly applied to our calculations with a rejection algorithm.

### 5.1. Optical Depth, Event Rate, and Mean Characteristic Timescale

Figure 1 displays the optical depth  $\tau$ , event rate  $\Gamma$ , and average characteristic timescale  $\langle t_E \rangle$  obtained using our fiducial model for three different values of the  $\beta$  parameter ( $\beta = -1.0, -1.1, \text{ and } -1.2$ ) for the density of source stars (Equation (A25)) within the range  $-3 \leq \beta \leq -1$  suggested by Kiraga & Paczyński (1994). A lower value of  $\beta$  means fainter stars, and thus a lower number of detectable stars, decreasing the number of events (Equation (A24)). The results are compared to those determined by OGLE-IV (OGLE-III did not determine the optical depth) and MOA II as a function of latitude,  $b$ . The data are averaged values for  $|l| < 3^\circ$ , with bins  $\Delta b = 0.6^\circ$ . OGLE-IV still has a systematic error of about 10%

on the estimation of the number of sources, which dominates the reported error bar of the measurement. As discussed in Mróz et al. (2019), the difference between the OGLE-IV and MOA II optical depth determinations, which reaches up to  $\sim 30\%$ , most likely stems from the determination of this source number. As seen in the figure, for  $|b| \leq 2^\circ$ , i.e., the central fields, the strong absorption of the interstellar medium strongly affects the detection, significantly decreasing the efficiency. Note that OGLE-IV only considers events shorter than  $t_E = 300$  days and so implies an efficiency  $\epsilon(t) = 0$  above this value.

Overall, the agreement between our model and the observations is very good, except for the very central fields. We will come back to this point in Section 5.2.2. We have verified that a value  $\beta < -1.2$  significantly underestimates  $\tau$ ,  $\Gamma$ , and the  $t_E$  distribution. As discussed in Mróz et al. (2019), the mean timescales of microlensing events in the Galactic bulge increase with Galactic latitude, with shorter average values closer to the Galactic plane, which is in agreement with theoretical expectations (see their Section 8.1).

The number of observed events sharply decreases at low Galactic latitudes ( $|b| \leq 1^\circ$ ) owing to extremely large interstellar extinction. Source stars of events detected in this region are located closer than those at larger Galactic latitudes; hence, the number of potential lenses (in the optical), and thus the optical depth, is smaller (Mróz et al. 2019).

We have also explored the dependence of the microlensing optical depth and event rate obtained with our fiducial model as a function of Galactic longitude and compared with the OGLE-IV data in the Galactic plane (Mróz et al. 2020). This study will be presented in detail in Section 5.2.3.

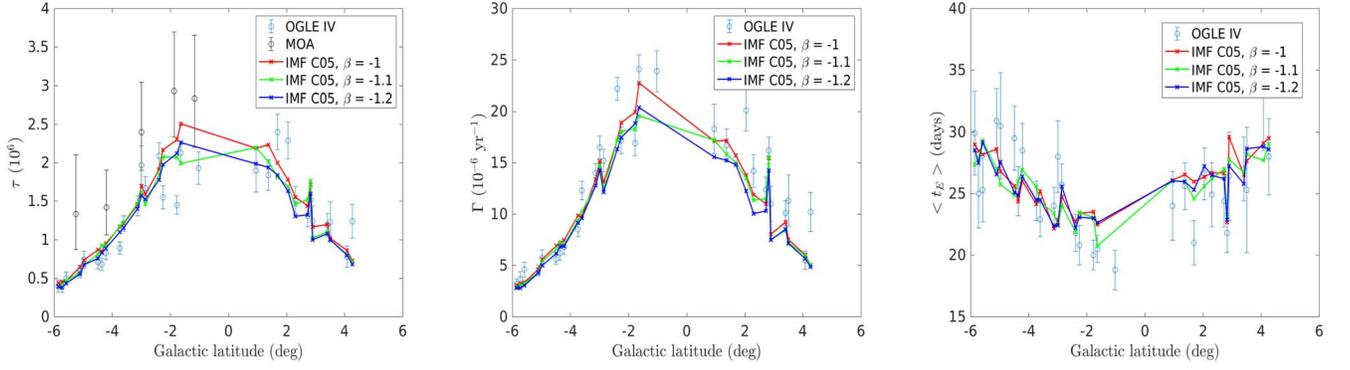
## 5.2. Time Histograms Probing the Star+BD IMF

### 5.2.1. OGLE All Fields

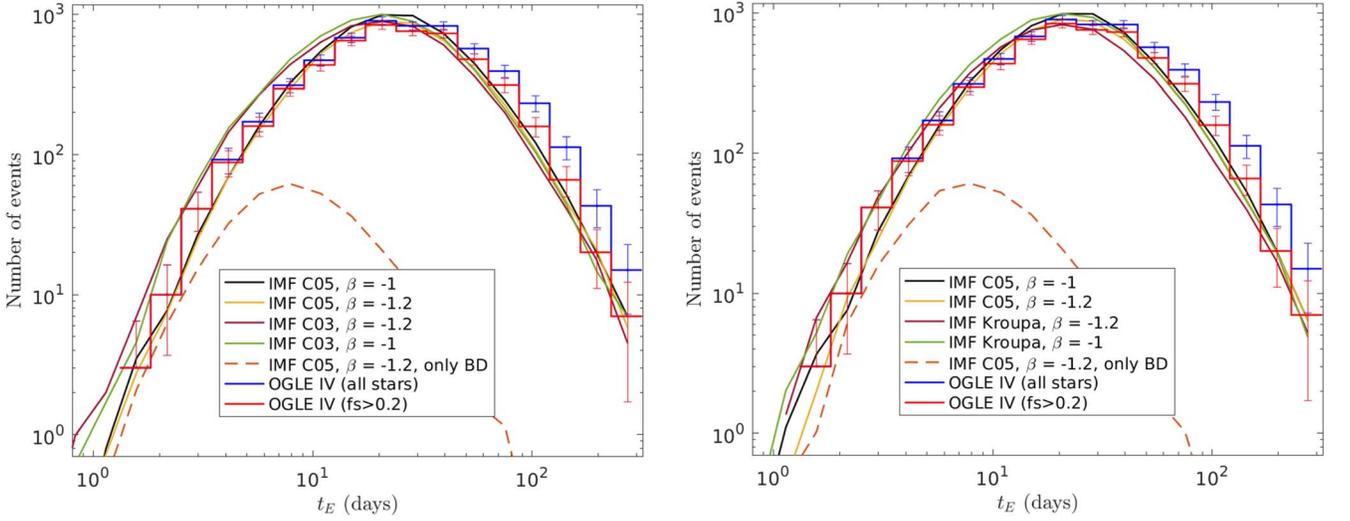
Before going further in the comparison between the model and the data, it should be noted that both the OGLE-III (Wyrzykowski et al. 2015) and OGLE-IV (Mróz et al. 2019) individual events analyses are based on the basic Paczynski (1996) microlensing model. This model ignores the motion of the Earth around the Sun. The Paczynski (1996) parameters are thus heliocentric in nature but estimated in the geocentric frame. The Earth's parallax effect, caused by variable magnification due to Earth's motion around the Sun, must then be taken into account for a proper analysis of the  $t_E$  histograms (Gould 2004). Most of the bulge microlensing events, however, are short (less than a couple of months), so the Earth's motion can be ignored. This is no longer true for long-time events. Such a reanalysis of the OGLE-III and OGLE-IV data was recently conducted by Golovich et al. (2022). As shown by these authors, the Earth's parallax correction decreases  $t_E$  for the long-time events, yielding a distribution similar to the one displayed in our Figure 2 for  $f_s > 0.2$  (see their Figure 12).

Figure 2 compares the results obtained with our fiducial model (C05 IMF) and the C03 and K01 IMFs to the data for two values of  $\beta$  in Equation (A25), namely,  $\beta = -1.0$  and  $-1.2$ . The Awiphan et al. (2016) IMF yields results similar to K01 and thus is not displayed in the figure. We show the distribution as a function of  $\log t_E$ , which highlights the short times and is less prone to statistical fluctuations of rare short- and long-time events. As mentioned in Section 4.1, only events with a blending proportion  $f_s > 0.2$  should be considered for the

<sup>3</sup> Note that three fields (BLG535.30–32) have been removed in Table 5 of Mróz et al. (2017) and thus should not be listed in their Table 3. Furthermore, the weight ( $=1/\text{efficiency}$ ) in the first online version of Table 3 was incorrect, giving different efficiencies between the 2017 and 2019 papers for the same data. This has now been updated (P. Mróz 2021, private communication).



**Figure 1.** Comparison of  $\tau$ ,  $\Gamma$ , and  $\langle t_E \rangle$  obtained with our fiducial model with the MOA II and OGLE-IV experiments for all stars as a function of latitude  $b$  for three values of the parameter  $\beta$  in Equation (A25). The data are averaged for  $|l| < 3^\circ$  and binned by  $\Delta b = 0.6^\circ$ . The efficiency of each corresponding OGLE-IV field has been applied, which explains the “spikes” at some latitudes.



**Figure 2.** Comparison of the  $t_E$  histograms obtained with our fiducial Galactic model, for three IMFs (C05, C03, K01), and for  $\beta = -1.0$  and  $-1.2$  for the density of source stars (Equation (A25)), with the results of OGLE-IV all-fields observations. The efficiency of each corresponding field has been applied. The lower dashed line shows the contribution from BDs. The bin size is the same as in Mróz et al. (2019), namely, 25 bins equally spaced between  $\log t_E = 0.1$  and 2.5.

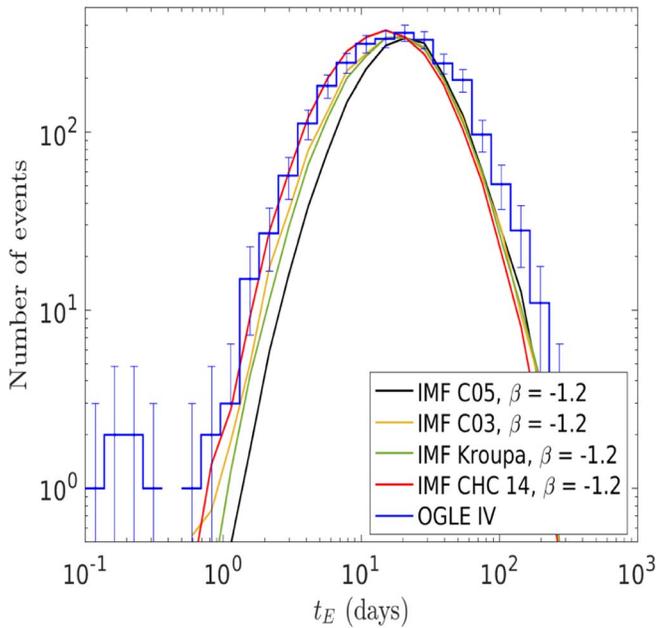
comparison between the model and the data to ensure that there is almost no bias in the measured timescales (orange curve in Figure 2).

As seen in the figure, the agreement of the model with observations with our fiducial model (C05 IMF) can be considered as fairly good, well within the uncertainties of the global Galactic modeling (see Appendix C). A value  $\beta = -1.2$  yields a nearly perfect agreement with the data. In contrast, both the C03 and K01 (or, similarly, A16) IMFs fail to reproduce the correct histogram. These IMFs yield a significant excess of events at and below the peak region and substantially overestimate the number of events over the  $\sim 5$ –25 day domain, i.e., the very low mass star and BD domains. Conversely, they tend to underestimate the number of large-timescale events and would require a substantial modification of the parameters of the Galactic model in order to agree with the data. We also note that while the fiducial model properly reproduces the location of the peak, the C03 and K01 ones are shifted toward shorter timescales, a direct consequence of the shift of these IMFs toward smaller masses compared with C05 (see Figure 5 in Appendix B). As mentioned earlier and explored in detail in Appendix C, these differences in the timescale distributions are larger than the ones due to uncertainties in the various model parameters.

Therefore, even though one must remain cautious with (statistical) microlensing analysis, it seems difficult to explain such a disagreement with model uncertainties. Similarly, to reconcile these predicted timescales with the observations would imply that the detection efficiency and/or blending correction are significantly either over- or underestimated, depending on the timescale range (see Equation (A18)), which is at odds with the detailed analysis carried out in Mróz et al. (2019; see their Section 5–7). At the location of the peak (around  $\sim 20$  days), we have verified that the fractions of bulge–bulge, bulge–disk, and disk–disk events amount to about  $\sim 70\%$ ,  $30\%$ , and  $< 1\%$ , respectively. Only for events with  $t_E \gtrsim 100$  days does the number of disk–bulge events start to dominate the bulge–bulge one. The figure also displays the contribution from BD events. As seen, these start to contribute significantly ( $\gtrsim 50\%$ ) below  $\lesssim 6$  days (see Equation (A4)).

### 5.2.2. The OGLE Central Fields

Between 2010 and 2015, Mróz et al. (2017) observed the nine central fields of OGLE-IV with the highest cadence (BLG500, BLG501, BLG504, BLG505, BLG506, BLG511, BLG512, BLG534, and BLG611), which include 2617 detected events. These fields have a better efficiency for the



**Figure 3.** Same as Figure 2 for the OGLE-IV central fields (Mróz et al. 2017) as obtained with the same IMFs as in Figure 2 and the one portrayed in Figure 5 in Appendix B, similar to the ones derived in Chabrier et al. (2014a; see text).

very short time events. The global histogram of these fields is portrayed in Figure 3. Assuming that the same  $f_S > 0.2$  correction as for the other fields applies (Figure 2; we do not have these data for these fields), the long-timescale tail should be well reproduced with the model. In contrast, it is clear that the model severely underestimates the number of events below  $t_E \lesssim 20$  days for all of the IMFs considered previously. Therefore, for these OGLE-IV central fields, the model underestimates the number of short-time events and yields larger Einstein timescales compared with observations. It is worth stressing that all central fields exhibit similar distributions, excluding the possibility that the peculiar global distribution of the central fields could be due to a single atypical field. Therefore, there is definitely an excess of short-time events, as clearly seen in the figure; the central fields yield a value for  $\langle t_E \rangle$  that is smaller than not only the model predictions but also the other OGLE-IV fields (see Figure 2), as already noted by Mróz et al. (2019). We verified that using a lower limit  $m_{\text{inf}} = 0.001 M_\odot$  for the IMF does not change the results.

Such a difference between the central and peripheral fields can have several possible explanations. (1) The efficiency toward the central fields is significantly overestimated (see Section 8.1 and Figure 11 of Mróz et al. 2019). (2) The blending is severely underestimated. However, as discussed in Section 4.1, blending is unlikely to be the source of the difference. (3) Several studies (see, e.g., Lian et al. 2020a and references therein) have revealed a complex range of stellar populations in the bulge and complex structures in their chemical abundances and kinematics, pointing to several phases of star formation history for the inner Galaxy within  $r_{\text{GC}} < 3$  kpc (Lian et al. 2020b). Assuming one single velocity dispersion might then be a too simplistic approach in this region. (4) Incompleteness in stellar number counts, which increases toward the GC, might also lead to an overestimate of  $\tau$  and  $\Gamma$  (see, e.g., Sumi & Penny 2016). As noted by these

authors, the incompleteness increases at lower  $|b|$  because of the higher stellar density and interstellar extinction. Indeed, there are several pieces of evidence for a stellar overdensity in the plane near the GC (Launhardt et al. 2002; Nishiyama 2013; Debattista et al. 2015; Schönrich et al. 2015, see Portail et al. 2017 and references therein). Note, e.g., the large difference in  $\tau$  and  $\Gamma$  between two juxtaposed fields when one of the two belongs to the central fields (e.g., BLG505 and BLG513; see Table 7 of Mróz et al. 2019).

Finally, if all of the aforementioned possible sources of bias are excluded as a possibility to resolve this issue, the observed timescale histogram might reveal a genuine difference in the microlensing event distributions between the peripheral and central fields, yielding potentially shorter events in the latter. As seen from Equation (A3), this can stem from three different causes in the central part of the bulge, namely, (i) a higher lens–source proper motion, transverse velocity  $v_\perp$ ; (ii) a smaller relative lens–source distance, i.e., a smaller  $D_S x(1-x)$ ; and (iii) a genuine excess of very low mass objects due to peculiar star formation conditions. Naively, one expects items (i) and (ii) to affect all events, not preferentially short ones, leaving the shape of the event distribution unchanged. By construction, these effects are taken into account in our Monte Carlo calculations (Equations (A15)–(A17)). We have carefully verified this issue in Appendix D. As shown in this appendix, the statistical distributions of the number of events as a function of  $v_\perp$  and  $D_S x(1-x)$  for the central field conditions are similar for the four different IMFs examined in Figure 3, confirming the fact that the effective probabilities  $P_{\text{eff}}(x)$  and  $P_{\text{eff}}(v_\perp)$  (Equations (A16) and (A17)) do not depend on the mass. In contrast, the timescale distributions differ significantly between different IMFs. The atypical event timescale distribution in Figure 3 might thus truly stem from a different, bottom-heavy IMF. In order to test this hypothesis, we have calculated the histogram of the central fields with an IMF corresponding to conditions somewhere between the MW conditions and “Case 2” in Table 2 of Chabrier et al. (2014a; see Figure 5 in Appendix B). The result is portrayed in Figure 3 with the denoted CHC14 IMF (to be understood as a bottom-heavy type IMF as described in Chabrier et al. 2014a, Table 1 and Figure 1). Our Galactic model with this type of bottom-heavy IMF yields a significantly better agreement with the data, notably the short timescales. Making comparisons with each of the nine aforementioned central fields, we have verified (keeping in mind the low statistics for each of these fields) that the timescale distributions for all of the fields located at  $b = -2^\circ$ ,  $|l| < 2^\circ$  are consistent with a CHC14-type IMF, i.e., a bottom-heavy IMF compared with the C05 one. Moving outward around this region, the IMF smoothly transits from a CHC14-type to C03 (itself bottom-heavy compared with C05) to C05 in the peripheral fields.

As mentioned in the Introduction, such a peculiar IMF has been advocated for the progenitors of massive ETGs and has been suggested to be due to the high density and (accretion-induced) turbulence, and thus high external pressure and surface density (thus compactness), during the bursty formation stage of these galaxies (Hopkins 2013; Chabrier et al. 2014a; Barbosa et al. 2020). The formation history of the central part of the Galaxy indeed occurred within  $\sim 0.5$  Gyr, i.e., under a burst-like mode, and thus differs significantly from the one of the local disk. Analysis of the APOGEE and Gaia data has recently assessed the existence of an accreted structure located

in the inner Galaxy that likely occurred in the early life of the MW (Horta et al. 2021), so it is not implausible that part of the bulge stellar population originates from these early events. Another argument in favor of such an early accretion event is the evidence for two separate components in the RR Lyrae population, with distinct spatial distributions and marginally different kinematics, one population being centrally concentrated (e.g., Savino 2020). A possible interpretation is that this population was born prior to bar formation, as its spatial location, kinematics, and pulsation properties suggest a possible accretion event in the early life of the Galaxy (Du et al. 2020; Kunder et al. 2020). It is not inconceivable that such star formation conditions would be more similar to the ones encountered in ETGs than under quiescent conditions such as in the MW. As shown in Chabrier et al. (2014a), in a gravoturbulent scenario of star formation, these uncommon conditions can indeed lead to bottom-heavy IMFs.

The five shortest-time events,  $0 \text{ day} < t_E < 0.5 \text{ day}$  (Mróz et al. 2017), displayed in the figure are most likely events due to lenses of the order of a Jupiter mass or less either ejected or on large orbits (Mróz et al. 2017, 2020), as confirmed by Gould et al. (2022), and thus are not representative of the population described by the IMF. It is worth stressing that the nondetection of a large number of short-timescale events in these two experiments strengthens the absence of a large population of free-floating or wide-orbit Jupiter-mass planets, in contrast to previous claims (Sumi et al. 2011). According to this analysis, about 5% of such objects around main-sequence stars could explain these statistics (Mróz et al. 2017, 2020).

### 5.2.3. OGLE Galactic Plane

As part of the OGLE-IV survey, the OGLE GVS survey (Mróz et al. 2020) was carried out during 2013–2019. The fields are located along the Galactic plane ( $|b| \leq 7^\circ$ ,  $0^\circ < l < 50^\circ$ ,  $190^\circ < l < 360^\circ$ ) and in an extended area around the outer Galactic bulge. They cover an area of about  $2800 \text{ deg}^2$  and contain over  $1.8 \times 10^8$  sources and 630 detected events. Figures 7 and 8 of Mróz et al. (2020) present the detection efficiency–corrected distributions of event timescales in the Galactic plane fields ( $l > 20^\circ$ ). As noted in that paper, these histograms have a similar shape (slopes of short- and long-timescale tails) to those in the central Galactic bulge, but events in the disk are longer. In particular, their sample contains only two events with  $t_E < 10$  days at  $l > 20^\circ$ , with timescales of about 5.7 and 7.2 days (see Section 6.2 and Figure 7 of Mróz et al. 2020). The Einstein timescales of Galactic plane events are, on average, three times longer than those of Galactic bulge events, with little dependence on the Galactic longitude. This property is expected from the theoretical point of view because lensing objects are closer than those toward the Galactic bulge (so their Einstein radii are larger). Moreover, as the observer, lens, and source, all located in the Galactic disk, are moving in a similar direction, the relative lens–source proper motions should be lower than those in the Galactic bulge.

For sake of completeness in our study, we have also compared our fiducial model calculations with these data. The top row of Figure 4 displays the optical depth and event rate obtained with our fiducial model as a function of longitude. For a fixed longitude, the results are averaged over 10 values of the

latitude ( $-7^\circ \leq b \leq +7^\circ$  as in Mróz et al. 2020), and the longitude is sampled every  $10^\circ$  at the same interval. The bottom row of the figure portrays the same kind of comparison as a function of latitude for 10 averaged longitudes. The sampled latitude is averaged over 50 angles between  $240^\circ$  and  $330^\circ$  as in Mróz et al. (2020).

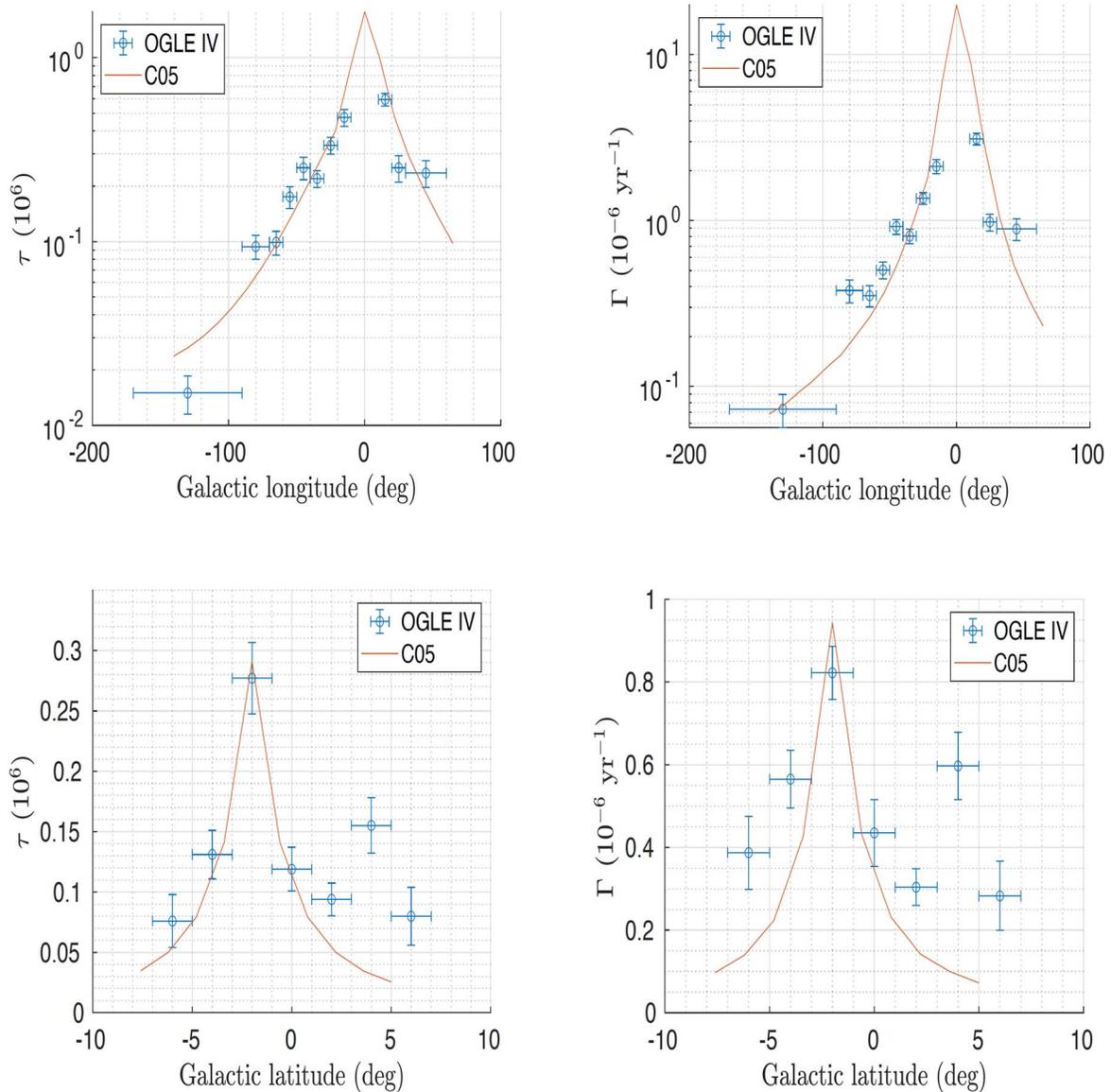
The agreement between the calculations and the data is quite satisfactory for the longitude dependence but not as good for the latitude, notably at high latitude. As seen in the figure and shown in Mróz et al. (2019, 2020), the optical depth and event rate exponentially decrease with the angular distance from the GC. In both cases, we note the correlation between  $\tau$  and  $\Gamma$ . The fact that both the  $\tau$  and  $\Gamma$  distributions peak at a latitude  $b = -2^\circ$  most likely reflects the position of the Sun above the Galactic plane (see Section 4.2.2). This is in good agreement with the expectations of the Galactic models (Sajadian & Poleski 2019). The strong deviation for the central fields is not surprising, as the integrated density varies substantially as one approaches the GC. A more precise analysis would require a much better sampling than the one done by Mróz et al. (2020). Indeed, the authors focused their study on the fields in the plane and not toward the bulge (see Section 5.2.2). We have no clear explanation for the disagreement between the model and the data for the high latitudes. A plausible explanation would be overdensities at these locations, yielding an excess of lenses originating from the enhanced stellar density in these regions, with sources in the background disk. Such an excess of microlensing events was recently detected with the Gaia Data Release 3 (Wyrzykowski et al. 2015) that coincides with the Gould Belt. This is, of course, just a suggestion.

Overall, our fiducial model, based on the C05 IMF, is globally consistent with the observations toward the Galactic plane. A more refined sampling could probably help improve the model, notably the density distribution as a function of ( $b, l$ ).

### 5.3. Summary of the Comparisons with the Observations and Consequences for Star and BD Formation

All of these comparisons show that the C05 IMF is consistent with the recent OGLE-IV constraints over the entire distribution, except for events  $\lesssim 10$  days for the most central OGLE-IV fields. In Section 5.2.2, we suggest possible explanations for this behavior, including a bottom-heavy IMF in the central parts of the Galactic bulge. Although it might be worth performing the same type of detailed numerical simulations as in Wegg et al. (2017) in order to optimize the ( $m_0, \sigma$ ) parameters in the C05 IMF (see also Equation (34) of Chabrier et al. 2014a), the present results suggest that the optimized parameters should differ only modestly from the latter, given the proximity of the theoretical and experimental distributions. In contrast, even though one must remain cautious about potential biases in the microlensing experimental analysis, the C03, K01, and A16 IMFs (we recall that the latter yields results very similar to the former two) seem to be excluded. Because, as explored in Appendix C, the results depend only modestly on the Galactic model, this general conclusion can be considered as reasonably robust.

These results raise an important issue concerning the formation of BDs. In order to be consistent with the observed BD distributions in young clusters, calculations based on



**Figure 4.** Averaged optical depth (left column) and event rate (right column) distributions in the Galactic plane as a function of longitude (top row) and latitude (bottom row). The data are from Mróz et al. (2020). Solid lines show the results from the present calculations with the fiducial Galactic model.

the **K01** IMF need to invoke a strong discontinuity near the star–BD transition (Thies & Kroupa 2007), which corresponds to an Einstein time around  $t_E \sim 6$  days for the bulge conditions (Equation (A4)). Based on this result and supposedly a problem with the properties of BD companions (see Chabrier et al. 2014b for a discussion of this issue), these authors argued that BDs have a different IMF from stars and thus form differently. We suggest that a more plausible explanation is that the mass probability law represented by the **K01** IMF is incorrect. This is clear from Figure 2, which shows that the **K01** IMF significantly overestimates the number of events below  $\sim 10$ – $20$  days, i.e., the low-mass star to very low mass star domain. Retrieving a correct  $t_E$  distribution for these events requires switching from **K01** to **C05** near the bottom of the main sequence, which indeed implies a discontinuous IMF. As explained in Section 3 and **C05**, this essentially stems from the erroneous normalization of the **K01** IMF at the stellar–substellar boundary based on an obsolete luminosity function. As seen in Figure 5 of Appendix B and Figure 3 of **C05**,

the **K01** IMF predicts more than twice as many low-mass stars at  $0.1 M_\odot$  than the **C05** IMF and globally overestimates the number of low-mass stars below about  $\lesssim 0.5 M_\odot$ . In contrast, the fact that the **C05** IMF, which extends continuously over the entire stellar plus substellar domain, adequately reproduces cluster and field BD distributions, plus the microlensing observations basically down to the star formation limit, strongly suggests a common dominant formation mechanism for stars and BDs (see Chabrier et al. 2014b for a review). This implies that alternative mechanisms, such as disk instability or dynamical ejection, are not the main drivers of BD formation, even though they may contribute more modestly to the process. The recent results of the WISE survey, for instance (Kirkpatrick et al. 2019, 2021), suggest a rising number of field very low mass BDs, more consistent with a rising power-law IMF than with a lognormal one, even though the disagreement with the latter remains within the observational error bars. It should be kept in mind, however, that these determinations imply model-dependent mass-effective

temperature transformations and are subject to large uncertainties. Indeed, no atmosphere model can presently be considered reliable enough to accurately describe the spectral energy distribution of these cool, atmospherically complex objects. Interestingly, microlensing experiments, although subject to other limitations, are exempt from such fragile photometric or spectroscopic transformations.

Similarly, the detection of a rich population of free-floating “planets” in the Upper Scorpius young stellar association has recently been claimed in the literature (Miret-Roig et al. 2022). It is important to stress that these authors used the IAU definition for planets versus BDs, with a cutoff mass at  $10 M_{\text{Jup}}$ . As examined in detail in Chabrier et al. (2014b, and references therein), this semantic definition has no robust scientific justification and brings a lot of confusion. The observations of Miret-Roig et al. (2022), confirmed by Bouy et al. (2022), rather suggest an excess of low-mass BDs compared with the C05 IMF. These determinations, however, are subject to both observational and theoretical uncertainties and must be confirmed unambiguously. It must also be kept in mind that the IMF is not “carved in stone” and can potentially exhibit some local variations. Observations of many nearby young clusters or star-forming regions down to a few Jupiter masses show that all observed sequences are consistent with the same “underlying” C05 IMF. A  $\sim 10\%$ – $30\%$  or so local variation below  $\lesssim 10 M_{\text{Jup}}$  around this underlying IMF, consistent with the analysis of Miret-Roig et al. (2022) or Gould et al. (2022, Figure 9) is not excluded. Whether this excess, if confirmed, is due to an underestimation of the low-mass BD part of the C05 IMF or reflects a population of ejected or wide-orbit BD companions or planets (formed in a disk) remains an open question for now. We also recall that the nondetection of any excess of very short timescale events in the OGLE microlensing experiments excludes a large population of free-floating or wide-orbit Jupiter-mass objects (Mróz et al. 2017, 2019), showing that announcements that have been made in the past were incorrect. Great caution must thus be taken when claiming an excess of very low mass objects.

## 6. Conclusion

In this paper, we have compared the optical depths and event timescale distributions obtained with four different IMFs, namely, C03, C05, K01, and Awiphan et al. (2016), with the results obtained with the recent OGLE-IV experiments toward various regions of the GC or plane. These have characterized a total of 8000 events for total exposures  $> 10^8$  star-yr, allowing an accurate statistical comparison. The C05 IMF extending down to essentially the bottom of the BD domain is fully consistent with the OGLE-IV outer field observations. The new optical depth and event rate analysis conducted with the present calculations eases the tension between the previous measurements and Galactic models. In contrast, the C03, K01, and A16 IMFs predict a number of short-time, and thus low-mass, events larger than the OGLE-IV distributions and fail to reproduce the proper location of the peak of the distribution. This failure of the Kroupa IMF to correctly reproduce the mean durations of microlensing events with a higher contribution of low-mass objects, inducing a deficit of predicted long-duration events, has already been noted by Moniez et al. (2017). The K01 IMF has also been shown to fail to reproduce the observed distribution of BDs in various young clusters, in contrast to the C05 IMF (Andersen 2008). Similarly, the

disagreement between the observed present distributions and those obtained with the Awiphan et al. (2016) IMF, which yields a distribution quite similar to the one obtained from the K01 IMF in the low-mass domain (see Figure 5 of Appendix B), not mentioning the peculiar behavior of this IMF at large masses, steeper than the Salpeter slope, raises questions about the accuracy of this IMF.

The similarity between the local canonical C05 IMF and the one presently inferred from the microlensing observations toward the GC, which extends well into the BD domain, points to a rather universal star+BD dominant formation process for the origin of the IMF. In other words, it shows that, under MW-like conditions, this process only weakly depends upon the environment, including stellar feedback (see, e.g., Hennebelle et al. 2020). This challenges numerical simulations that suggest that the IMF strongly varies with the properties of the parent cloud. It seems that very extreme conditions, as inferred, e.g., for massive ETGs, characterized by both significantly higher densities and velocity dispersions and thus higher external pressures (so, surface densities) than under MW-like conditions, are required to affect the IMF genesis.

For the OGLE-IV central fields, the C05 IMF underestimates the number of short-timescale ( $t_E \lesssim 10$  days) events compared with the observations. Whether or not the disagreement can be explained by either experimental or theoretical limitations (see Section 5) remains an open question. Although an underestimation of detection efficiency does affect the short-time event distribution in these regions, resolving this issue would require significant changes in these parameters. As mentioned in Section 4.1, the very detailed procedure performed in Mróz et al. (2017, 2019) makes this solution very unlikely. The agreement between the microlensing distributions toward the Galactic centermost parts, which display a larger number of short-timescale events than for the other regions, and a Chabrier et al. (2014a) type bottom-heavy IMF suggests that the central part of the Galaxy indeed formed in a burst-like mode, providing high density and turbulence, a scenario that has already been suggested on other grounds. Indeed, as mentioned previously, various observations point to a two-step process in the bulge formation, with the existence of an early strong gas-rich accretion phase triggering a burst of star formation more intense close to the plane that far from the plane (Hasselquist et al. 2020), followed by a more secular evolution (see, e.g., Grieco et al. 2015). It is likely that, under the effect of dynamical frictions, such violent accretion events powered highly turbulent motions (e.g., Bournaud et al. 2009; Dekel & Burkert 2014). As shown in Chabrier et al. (2014a), this yields an offset of the normalizations of the Larson density–size and velocity–size relations compared with standard (quiescent) Giant molecular clouds (GMC) conditions, as observed in GMCs in starbursting galaxies (e.g., Dessauges-Zavatsky et al. 2019). Even though we are aware of the speculative nature of this kind of suggestion, the aforementioned diagnostics, combined with the present IMF analysis, at least lend some support to such a scenario. It is worth noting that some centermost young stellar disks close to the super-massive BH show a highly top-heavy IMF. But such formation circumstances should be very rare, as they have not affected most of the central cluster.

Our results are relevant in view of the future microlensing plans with the Roman Space Telescope (formerly WFIRST) in the near-IR. An additional reason that makes the study of the

IMF in the bulge of spiral and elliptical galaxies important is the possibility that these spheroids could potentially contain the majority of the stellar mass of the universe (see, e.g., Fukugita et al. 1998).

The authors are very grateful to Przemek Mróz for providing all of the data and efficiency tables and always kindly answering our questions. We are also deeply indebted to the referee, whose very useful remarks helped improve the final manuscript. We also thank the referee for providing new values for the bulge velocity dispersions.

## Appendix A Microlensing Calculations

The microlensing calculations are the same as described in Appendix A of Méra et al. (1998, MCS98), except for the density of the deflectors (see Appendix A.2), and are summarized here.

### A.1. Characteristic Time

The duration  $t$  of a microlensing event, defined as the time during which the magnification of the monitored star is larger than a given threshold amplification  $A_T$  (usually  $A_T = 1.34$ ), reads

$$t = \frac{2R_E}{v_\perp} \sqrt{u_T^2 - u_{\min}^2} \simeq 45 \text{ days} \frac{100 \text{ km s}^{-1}}{v_\perp} \times \sqrt{\frac{M}{0.1 M_\odot}} \sqrt{\frac{D_S}{10 \text{ kpc}}} \frac{\sqrt{x(1-x)}}{0.5} \sqrt{u_T^2 - u_{\min}^2}, \quad (\text{A1})$$

where  $v_\perp$  is the lens transverse velocity with regard to the line of sight;  $u_T$  (respectively,  $u_{\min} = d_{\min}/R_E$ ) is the dimensionless impact parameter corresponding to the threshold amplification (resp. maximum amplification)  $A_T$  (resp. impact parameter  $u = 1$  at  $d = R_E$ );  $D_S$  is the distance to the source;  $D_L = xD_S$  and  $M$  denote, respectively, the distance and mass of the lens; and  $R_E = \frac{2}{c} \sqrt{GMD_S x(1-x)} \simeq 1.4 \text{ au} \sqrt{\frac{M}{0.1 M_\odot}} \sqrt{\frac{D_S}{10 \text{ kpc}}} \frac{\sqrt{x(1-x)}}{0.5}$  is the Einstein radius, with  $(\pi R_E^2)$  the surface of the Einstein disk. Note that, given the fact that  $v_\parallel/D_S \simeq 110 \text{ days} \times 100 \text{ km s}^{-1}/10 \text{ kpc} \approx 10^{-9} \ll 1$  and  $x \simeq 1$ , the variation along  $x$  is negligible, and  $t$  only depends on  $v_\perp$ .

The characteristic time of an event is defined as

$$t_E \equiv t_E(M, x, v_\perp) = \frac{R_E}{v_\perp} = \frac{t}{2\sqrt{u_T^2 - u_{\min}^2}} = \frac{2}{c v_\perp} \sqrt{GD_S M x(1-x)} \quad (\text{A2})$$

$$\simeq 25 \text{ days} \frac{100 \text{ km s}^{-1}}{v_\perp} \times \sqrt{\frac{M}{0.1 M_\odot}} \sqrt{\frac{D_S}{10 \text{ kpc}}} \frac{\sqrt{x(1-x)}}{0.5}. \quad (\text{A3})$$

Using more observable quantities, this equation can be rewritten as

$$t_E = \frac{\theta_E}{\mu_{\text{rel}}} = \frac{\sqrt{\kappa M \pi_{\text{rel}}}}{\mu_{\text{rel}}} \simeq 6.4 \text{ days} \frac{6.5 \text{ mas yr}^{-1}}{\mu_{\text{rel}}} \sqrt{\frac{M}{0.1 M_\odot}} \sqrt{\frac{\pi_{\text{rel}}}{0.016 \text{ mas}}}, \quad (\text{A4})$$

$$\theta_E = \sqrt{\kappa M \pi_{\text{rel}}} = 0.32 \text{ mas} \left( \frac{1-x}{x} \right)^{1/2} \times \left( \frac{D_S}{8 \text{ kpc}} \right)^{-1/2} \left( \frac{M}{0.1 M_\odot} \right)^{1/2}, \quad (\text{A5})$$

where  $\theta_E = R_E/D_L$  is the Einstein angular radius;  $\kappa = \frac{4v_\oplus^2}{M_\odot c^2} = \frac{4G}{c^2} \text{ au}^{-1} = 8.144 \text{ mas } M_\odot^{-1}$ , with  $v_\oplus \sim 30 \text{ km s}^{-1}$  the speed of Earth;  $\pi_{\text{rel}} = \pi_l - \pi_s = \frac{\text{au}}{D_L^{-1} - D_S^{-1}}$  is the lens–source relative parallax; and  $\mu_{\text{rel}} = \mu_l - \mu_s = \mu_E \theta_E = \frac{v_\perp}{D_L}$  is the relative lens–source proper motion. The values  $\mu_{\text{rel}} = 6.5 \text{ mas yr}^{-1}$  and  $\pi_{\text{rel}} \simeq 0.016 \text{ mas}$  in Equation (A4) correspond to the typical values for bulge–bulge lensing.

The event timescale distribution is given by  $P(t_E) = \int P(M, x, v_\perp) \delta(t_E - \frac{R_E}{v_\perp}) dM dx dv_\perp$  with  $P(M, x, v_\perp) = P_{\text{eff}_M}(M) P_{\text{eff}_x}(x) P_{\text{eff}_{v_\perp}}(v_\perp)$ , where  $P_{\text{eff}_M}(M)$ ,  $P_{\text{eff}_x}(x)$ ,  $P_{\text{eff}_{v_\perp}}(v_\perp)$  denote the effective probability distributions calculated below (Equations (A15)–(A17)).

This yields the average characteristic time  $\langle t_E \rangle$ ,

$$\langle t_E \rangle = \frac{2\sqrt{GD_S}}{c} \langle \sqrt{M} \rangle \langle \frac{1}{v_\perp} \rangle \langle \sqrt{x(1-x)} \rangle, \quad (\text{A6})$$

where the means are calculated with the aforementioned effective probabilities.

### A.2. Lens Density

Assuming for now that all of the sources are located at a distance  $D_S$ , the number of lenses located at  $D_L$  with a mass  $M \in [M, M + dM]$  is  $dN_{\text{lens}} = n_{\text{lens}}(D_L, M) \times 4\pi(D_L)^2 d(D_L) dM$ . The density depends on the probability density  $P(M)$  for a lens to have a mass  $M \in [M, M + dM]$ , i.e., of the mass function,  $\xi(M)$ . The number density of lenses at a distance  $D_L$  thus reads (e.g., de Rujula et al. 1991)

$$n_{\text{lens}}(D_L, M) = \frac{\rho(D_L)}{\langle M \rangle} \xi(M), \quad (\text{A7})$$

where  $\rho(D_L)$  is the Galactic mass density at  $D_L$ , so  $\frac{\rho(D_L)}{\langle M \rangle}$  corresponds to the number density of starlike objects, i.e., of potential deflectors at  $D_L$ .

This differs from the expression used in MCS98, which is  $n_{\text{lens}}(D_L, M) = \frac{\rho(x)}{M} \xi(M)$ . Even though the two expressions look similar, they yield different results. Indeed, given the fact that the Einstein radius is proportional to the square root of the mass,  $R_E \propto \sqrt{M}$ , the mass dependence of the rate,  $\Gamma$ , is  $\Gamma \propto 1/\sqrt{M}$  in the former case, while it is  $\Gamma \propto \sqrt{M}$  with Equation (A7). This means that the distribution of events favors

high masses in the former case and low masses in the latter one. We verified that using the [MCS98](#) normalization yields a fraction of short-time events much larger than the one observed by OGLE, in contrast to Equation (A7).

### A.3. Optical Depth

From the definition of a microlensing event, a lens ( $x, M, t$ ) covers a solid angle

$$\delta\Omega = \frac{u_{\perp}(\pi R_E)^2}{4\pi(D_L)^2} = \frac{GM(1-x)}{c^2 D_L}. \quad (\text{A8})$$

The number of lensed objects at a distance  $D_L$  is  $\frac{\rho(D_L)}{\langle M \rangle} \times (\pi R_E^2)$ . The optical depth up to a distance  $D_S$ , i.e., the probability for a source star located at  $D_S$  to be microlensed by a factor  $\geq A_T$  at a given time, is given by

$$\tau(D_S) = \int \delta\Omega \int_0^{\infty} n_{\text{lens}}(D_L, M) \times 4\pi(D_L)^2 d(D_L) dM \quad (\text{A9})$$

$$= \int_0^1 u_T^2 \pi R_E^2 \frac{\rho(D_L)}{\langle M \rangle} D_S dx \quad (\text{A10})$$

$$= 4\pi \frac{GD_S^2}{c^2} \int_0^1 \rho(D_L) x(1-x) dx, \quad (\text{A11})$$

where we have taken  $u_T = 1$ . Note that the optical depth does not depend on either the mass or the velocity of the deflector.

For observations, for a population of  $N_s$  source stars observed during a total duration  $T_{\text{obs}}$ , the probability that a star is amplified corresponds to the sum of the observed event duration divided by the exposure  $E = N_s \times T_{\text{obs}}$ . The experimental optical depth measured by the observations is thus

$$\tau_{\text{exp}} = \frac{\pi}{2} \frac{1}{E} \sum_i \frac{t_{i,\text{obs}}}{\epsilon(t_i)}, \quad (\text{A12})$$

where  $\epsilon(t)$  denotes the detection efficiency,  $t_{i,\text{obs}}$  is the observed Einstein radius crossing time of the  $i$ th event, and  $\epsilon(t_i)$  is the detection efficiency at this timescale.

### A.4. Event Rate

During a timescale  $dt$ , the surface covered by a lens per unit time is  $\delta S = 2u_T R_E v_{\perp} dt$ , which corresponds to a solid angle  $\delta\Omega = \delta S / (D_L)^2$ . For a number of observed stars  $N_s$ , the number of events is thus  $dN = N_s \delta S n_{\text{lens}}(D_L, M) P(v_{\perp}) dM dv_{\perp} d(D_L) dt$ , so the event rate for a given Galactic model, i.e., the expected number of events per unit time, reads

$$d\Gamma = \frac{dN}{N_s dt} = 2u_T R_E v_{\perp} \frac{\rho(D_L)}{\langle M \rangle} \xi(M) P(v_{\perp}) dM dv_{\perp} d(D_L), \quad (\text{A13})$$

where  $P(M)$  and  $P(v_{\perp})$  are the probability distributions of, respectively, lens mass and velocity.

If the velocity distribution is independent of the position, i.e., for a well-defined part of the Galaxy, the integration of

Equation (A13) yields

$$\Gamma = u_T \frac{4\sqrt{GD_S}}{c} \int_{m_{\text{inf}}}^{m_{\text{sup}}} \frac{\sqrt{M}}{\langle M \rangle} \xi(M) dM \times \int_0^1 \sqrt{x(1-x)} \rho(D_L) D_S dx \int_0^{\infty} dv_{\perp} v_{\perp} P(v_{\perp}). \quad (\text{A14})$$

Equation (A14) shows that the effective microlensing probability distributions for the variables  $M$ ,  $x$ , and  $v_{\perp}$  are different from their intrinsic probabilities because of the dependency induced by the threshold condition  $u_T \geq d/R_E$ . These effective probabilities are given by

$$P_{\text{eff}_M}(M) \propto \frac{\sqrt{M}}{\langle M \rangle} \xi(M), \quad (\text{A15})$$

$$P_{\text{eff}_x}(x) \propto \sqrt{x(1-x)} \rho(D_L), \quad (\text{A16})$$

$$P_{\text{eff}_{v_{\perp}}}(v_{\perp}) \propto v_{\perp} P(v_{\perp}). \quad (\text{A17})$$

Note the difference in the effective mass probability with Equation (A5) of [MCS98](#). The observational event rate is determined as

$$\Gamma_{\text{exp}} = \frac{1}{E} \sum_i \frac{1}{\epsilon(t_i)}. \quad (\text{A18})$$

It is easy to show that the optical depth, event rate, and average characteristic time obey the relation

$$\tau = \frac{\pi}{2} u_T \times \Gamma \times \langle t_E \rangle. \quad (\text{A19})$$

A given Galactic model implies a timescale distribution  $d\Gamma/dt_E = \Gamma \times P(t_E)$ , and the number of events predicted by the theory for an exposure  $E$  is given by:

$$N_{\text{th}} = E \times \int_0^{+\infty} \epsilon(t_E) \frac{d\Gamma}{dt_E} dt_E, \quad (\text{A20})$$

to be compared with the number of observed events  $N_{\text{obs}} = \Gamma_{\text{exp}} \times E$ .

In Equation (A6), the means are computed with the effective probability distributions (A15)–(A17). When explicitly writing the corresponding integrals, we can identify the optical depth and event rate, which yields

$$\tau = \frac{\pi}{2} u_T \times \Gamma \times \langle t_E \rangle. \quad (\text{A21})$$

The numerical calculation of the event rate is detailed below.

### A.5. Distance of the Source Star

In the above calculations, the distance  $D_S$  to the source star is taken to be constant. For the observations toward the bulge,  $D_S$  varies because of the elongation along the line of sight, which implies an extra integral on  $D_S$ . Denoting  $\nu_s$  as the density of source stars visible at the distance  $D_S$ , the total number of stars we can detect between  $D_S$  and  $D_S + dD_S$  is  $N_s = \int_0^{\infty} \nu_s(D_S) D_S^2 dD_S$ . This introduces a new probability density for the distance to the source star,  $P(D_S) \propto D_S^2 \nu_s(D_S)$ . The optical depth up to a given source distance  $L$  for a given stellar population thus now reads (Zhao & Mao 1996;

Moniez 2010, MCS98)

$$\begin{aligned} \tau(L) &= \int_0^L D_S^2 \nu_s(D_S) dD_S \int_0^1 u_T^2 \pi \frac{4GD_S^2}{c^2} \rho(D_L) x(1-x) dx. \quad (\text{A22}) \end{aligned}$$

The averaged optical depth over the line of sight for a given stellar population is defined as

$$\begin{aligned} \langle \tau \rangle &= \frac{\int_0^\infty D_S^2 \nu_s(D_S) dD_S \int_0^1 u_T^2 \pi \frac{4GD_S^2}{c^2} \rho(D_L) x(1-x) dx}{\int_0^\infty D_S^2 \nu_s(D_S) dD_S}. \quad (\text{A23}) \end{aligned}$$

Similarly, the event rate (Equation (A14)) now reads

$$\begin{aligned} \Gamma &= \frac{4\sqrt{G}}{c} \int_0^\infty \nu_s(D_S) D_S^2 dD_S \int_{m_{\text{inf}}}^{m_{\text{sup}}} \sqrt{M} \frac{\xi(m)}{\langle M \rangle} dM \\ &\times \int_0^\infty \nu_s(D_S) D_S^{3.5} dD_S \\ &\times \int_0^1 \rho(D_L) \sqrt{x(1-x)} dx \int_0^\infty v_\perp P(v_\perp) dv_\perp, \quad (\text{A24}) \end{aligned}$$

where we have taken  $u_T = 1$ .

#### A.6. Density of Source Stars

The determination of  $\nu_s(D_S)$ , the density of source stars visible at a distance  $D_S$ , which enters Equations (A22)–(A24), requires a luminosity function for these stars. We have used the one derived by Kiraga & Paczyński (1994), where the number of stars brighter than some absolute luminosity  $A_{\text{lim}}$  is proportional to  $A^\beta$ ,  $N(A > A_{\text{lim}}) \propto A_{\text{lim}}^\beta$ , with  $-3 \leq \beta \leq -1$ . Since the luminosity  $A \propto D_S^2$ , this yields

$$\nu_s(D_S) \propto \rho_s(D_S) D_S^{2\beta+2}. \quad (\text{A25})$$

The value of  $\beta$  depends on the stellar population. Red clump giants are bright enough to be observed throughout the bulge, so their luminosity does not depend on the distance, i.e.,  $\beta = 0$ . For the other source stars located in the GC, we have taken  $\beta = -1.2$  for our best fiducial model (see Section 5.2.1). The simple power-law parameterization (Equation (A25)) is probably too simplistic to adequately reproduce the variations of the complete luminosity function from bright to faint stars (Stanek 1995; Wood 2007). It is, however, a reasonable representation of the latter at faint magnitudes (magnitude  $I \gtrsim 16$ ), where bulge dwarfs dominate the sample and contribute dominantly to the optical depth (Wegg et al. 2016). The impact of the parameter  $\beta$  upon  $\tau$ ,  $\Gamma$ ,  $\langle t_E \rangle$ , and the timescale distribution is examined in Sections 5.1 and 5.2.1 and discussed in more detail in Appendix C.4.

The integral (Equation (A24)) with six nonindependent variables ( $M$ ,  $x$ ,  $D_S$ ,  $v_{\text{lens}}$ ,  $v_{\text{source}}$ ,  $v_\odot$ ) is calculated with a Monte Carlo integration method (see MCS98, Appendix A48). Each simulation was carried out with  $10^7$  points for each field. The limit of the integral of the optical depth (Equation (A23)) and the event rate (Equation (A24)) for the distance of the source were chosen as  $(D_{\text{min}}, D_{\text{max}}) = (0.8, 20)$  kpc. Extending these limits is inconsequential. Indeed, the density for  $D < 800$  pc is very small compared with the one of the bulge (Kiraga & Paczyński 1994; Peale 1998), and, given the exponentially decreasing disk and bulge densities, the results become essentially insensitive to  $D_{\text{max}}$  beyond this limit (less than 0.1% variation on  $\tau$ ). Taking into account the experimental efficiency is straightforward with a rejection algorithm.

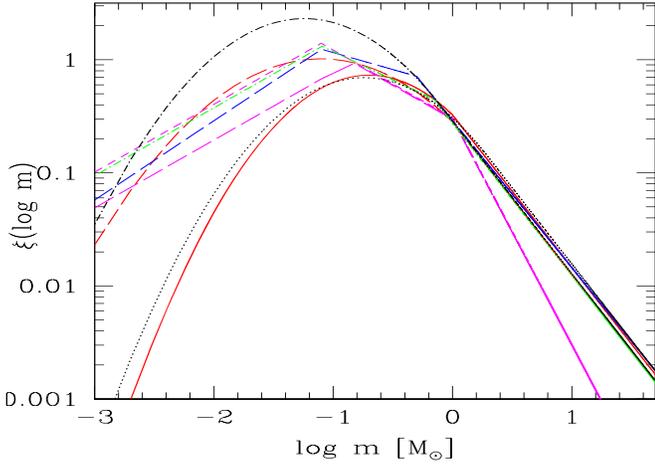
## Appendix B Characteristics of the Mass Functions

Table 2 summarizes the parameters of the four IMFs for resolved objects, which is relevant for the microlensing experiments compared in the present calculations. The difference between the K01, C03, and C05 IMFs, normalized

**Table 1**  
Optical Depth and Event Rate for Various Parameters of the Model for the OGLE-IV All-field Data (Mróz et al. 2019)

	$\langle \tau \rangle$ ( $\times 10^{-6}$ )	$\sum \Gamma$ all fields ( $10^6$ stars yr $^{-1}$ )
OGLE-IV	$0.91 \pm 0.13$	902
Fiducial model	0.96	928
IMF C03	0.94	1007
IMF K01	0.94	980
Geometry		
$\phi = 20^\circ$	1.08	1012
$\phi = 35^\circ$	0.88	863
Bulge Model		
No bulge	0.03	40
Stanek 1997	0.7	680
Zhao 1996	0.85	780
Deka 2022	0.97	941
Disk Model		
No disk	0.55	601
Zheng 2001 without thick disk	0.92	891
Zheng 2001 with thick disk	1.1	1028
Velocity Distribution		
$\sigma_{\text{bulge}} = 110 \text{ km s}^{-1} = \text{constant}$	0.96	932
$V_{\text{rot}}(R) = 220 \text{ km s}^{-1} = \text{constant}$	0.96	917
$V_{\text{rot}}(R) = \text{Equation (4) w/}$ $\Theta_0 = 220 \text{ km s}^{-1}$	0.96	900
Density of Source Stars		
$\rho_s = \rho_{\text{bulge}}$	0.76	720

**Note.** All models take a value  $\beta = -1.2$  in Equation (A25).



**Figure 5.** Comparison of the various IMFs  $\xi(\log m) = dn/d \log(m)$  given in Table 2: C05 (solid red), C03 (long-dashed red), K01 (long-dashed blue), A16 thin disk (short-dashed magenta), A16 thick disk (long-dashed magenta), A16 bulge (dashed-dotted green). (Note that the values of the slopes of the A16 IMFs were extended for  $m < 0.08 M_{\odot}$  by their value for the thick disk,  $\alpha = 0.5$ , to ensure a decreasing IMF in the BD domain.) The black dotted and dotted-dashed lines correspond to the functional form given in Equation (34) of Chabrier et al. (2014a), which ensures the continuity of the derivative. The dotted line reproduces the C05 IMF for parameters ( $m_0 = 2.0 M_{\odot}$ ,  $m_c = 0.182 M_{\odot}$ ,  $\sigma = 0.58$ ,  $A_h = 0.35$ ), while the dotted-dashed line corresponds to the IMF derived for the OGLE-IV central fields (cf Section 5.2.2) with ( $m_0 = 0.8 M_{\odot}$ ,  $m_c = 0.06 M_{\odot}$ ,  $\sigma = 0.607$ ,  $A_h = 0.09$ ), both with  $\alpha = 1.35$ . The IMFs are normalized such that  $\int_{0.01 M_{\odot}}^{100 M_{\odot}} \xi(m) dm = 1$ . All of the IMFs are normalized at  $1 M_{\odot}$  for comparison.

to the Hipparcos determination, is shown in Figure 3 of C05. Note that a slightly modified form of the C05 IMF was proposed in Chabrier et al. (2014a, Equation (34)), which ensures continuity not only of the function but also of its first derivative, as suggested by van Dokkum (2008). These IMFs are portrayed in Figure 5.

## Appendix C

### Dependence of the Results upon Model Parameters

In this appendix, we examine the impact of various parameters in different models upon the event characteristics, notably the histogram distribution. The efficiency of the fields  $\epsilon(t)$  is taken into account. The results are displayed in Figure 6 for the OGLE-IV all-fields data (Mróz et al. 2019). Table 1 compares the results for the optical depth  $\tau$  and event rate  $\Gamma$ . All comparisons are made with  $\beta = -1.2$  in Equation (A25).

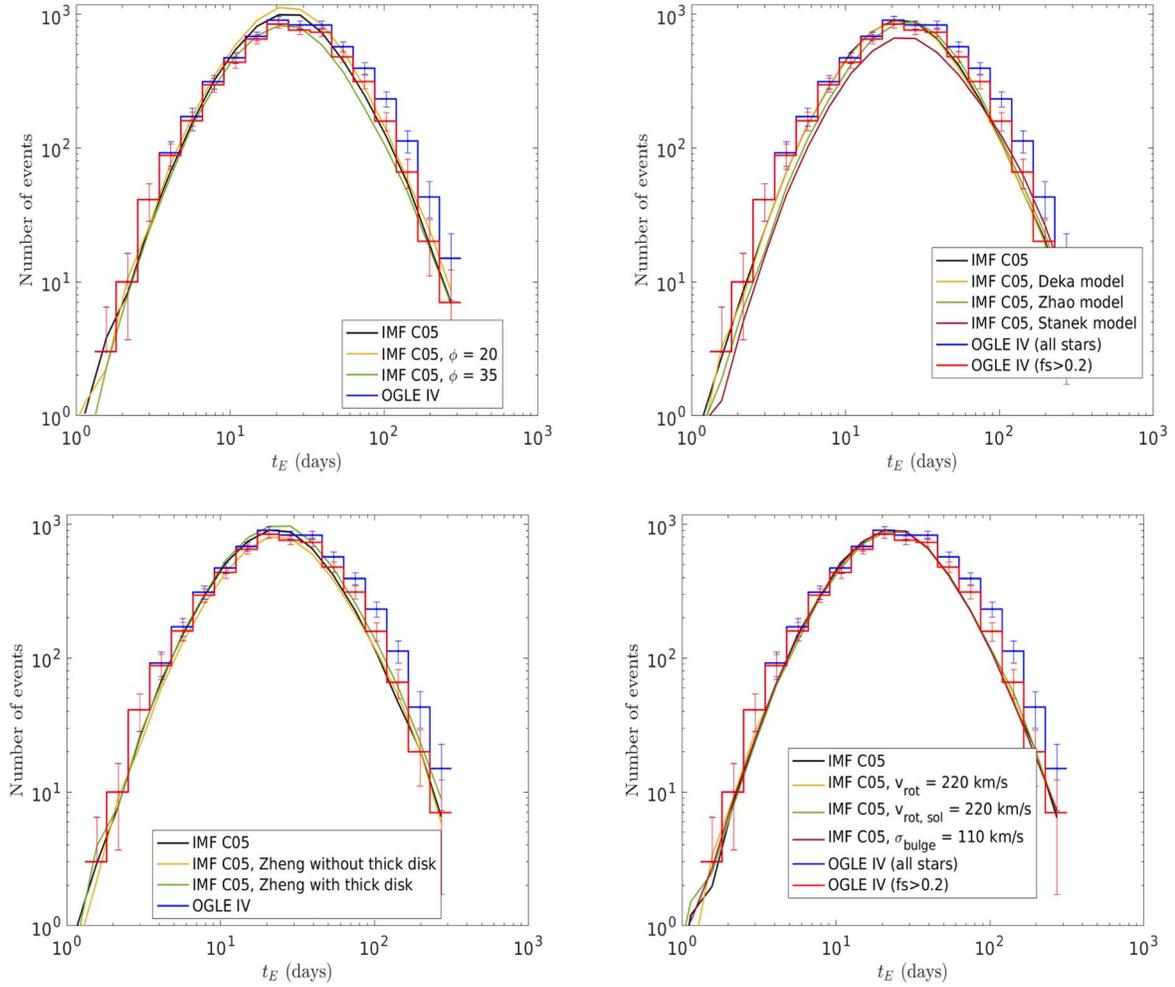
#### C.1. Geometry

Figure 6 examines the event distribution for two values,  $\phi = 20^{\circ}$  and  $35^{\circ}$ , i.e.,  $\pm 25\%$  around our fiducial value. The smaller the bar angle, the larger the mass along the line of sight and then the larger the optical depth and number of events. As seen from the values of  $\tau$  and  $\Gamma$  (Table 1) and the timescale distribution, the agreement of our fiducial model and the recent model of Deka et al. (2022; see Appendix C.5) with the data suggests a Galactic bar around our fiducial angle  $\phi \simeq 28^{\circ}$ .

**Table 2**  
Parameters of the IMF,  $\xi(\log m) = dN/d \log m$

K01	Awiphan et al. (2016)	C03	C05
$\xi(\log m) = A_1 m^{1-\alpha_1}$	$\xi(\log m) = A_1 m^{1-\alpha_1}$		
$0.01 \leq m \leq 0.08$ : $\alpha_1 = 0.3$ ( $A_1 = 4.575$ )	$\alpha_1 = 0.4$ $A_1 = 4.034$ (thin disk), 2.708 (thick disk), 3.762 (bulge)		
$0.08 \leq m \leq 0.5$ : $\alpha_2 = 1.3$ ( $A_2 = 0.366$ )			
$m \geq 0.5$ : $\alpha = 2.3$ ( $A_3 = 0.183$ )			
	Thin Disk		
	$0.08 \leq m \leq 1.0$ : $\alpha_2 = 1.6$ ( $A_2 = 0.193$ )		
	$1.0 \leq m$ : $\alpha_3 = 3.0$ ( $A_3 = 0.193$ )		
	Thick Disk		
	$0.08 \leq m \leq 0.15$ : $\alpha_2 = 0.5$ ( $A_2 = 2.104$ )		
	$0.15 \leq m \leq 1.0$ : $\alpha_3 = 1.5$ ( $A_3 = 0.315$ )		
	$1.0 \leq m$ : $\alpha_4 = 3.0$ ( $A_4 = 0.315$ )		
	Bulge		
	$0.08 \leq m \leq 0.70$ : $\alpha_2 = 1.5$ ( $A_2 = 0.236$ )		
	$0.7 \leq m$ : $\alpha_3 = 2.35$ ( $A_3 = 0.175$ )		
		$m \leq 1$ : $\xi(\log m) = A^- \exp\left[-\frac{(\log m - \log m_c)^2}{2\sigma^2}\right]$	
		$A^- = 0.642$	$A^- = 0.7305$
		$m_c = 0.079_{-0.016}^{+0.021}$	$m_c = 0.2$
		$\sigma = 0.69_{-0.01}^{+0.05}$	$\sigma = 0.55$
		$m \geq 1$ : $\xi(\log m) = A^+ m^{1-\alpha}$	
		$A^+ = 0.179$	$A^+ = 0.326$
		$\alpha = 1.35 \pm 0.3$	$\alpha = 1.35 \pm 0.3$

**Note.** Masses ( $m$ ,  $m_0$ ) are in  $M_{\odot}$ . The constant  $A$  (in  $(\log M_{\odot})^{-1} \text{pc}^{-3}$ ) corresponds to IMFs normalized such that  $\int_{0.01 M_{\odot}}^{100 M_{\odot}} \xi(m) dm = 1$ . Proper normalizations for the Galactic disk can be found in C05.



**Figure 6.** Influence of various parameters of the model upon the  $t_E$  histogram distribution (bins = 1 day). Top left: bar angle; top right: bulge model; bottom left: disk model; top right: velocity dispersion.

### C.2. Bulge and Disk Models

The choice of the bulge and disk models affects the optical depth and event rate, a direct consequence of the different total mass for each model. Figure 6 and Table 1 compare the results obtained with our fiducial model and the ones of Stanek et al. (1997), Zhao (1996), and Deka et al. (2022) for the bulge and Zheng et al. (2001) for the disk. For the latter case, the figure also highlights the contribution of the thick disk. As seen in the figure and Table 1, none of these models provides as good an agreement with the data as our fiducial model. However, while the Stanek model is clearly excluded, the Zheng and Zhao ones are marginally compatible with the observations. It is reassuring to see that the uncertainties in the disk and bulge Galactic models thus seem to be modest.

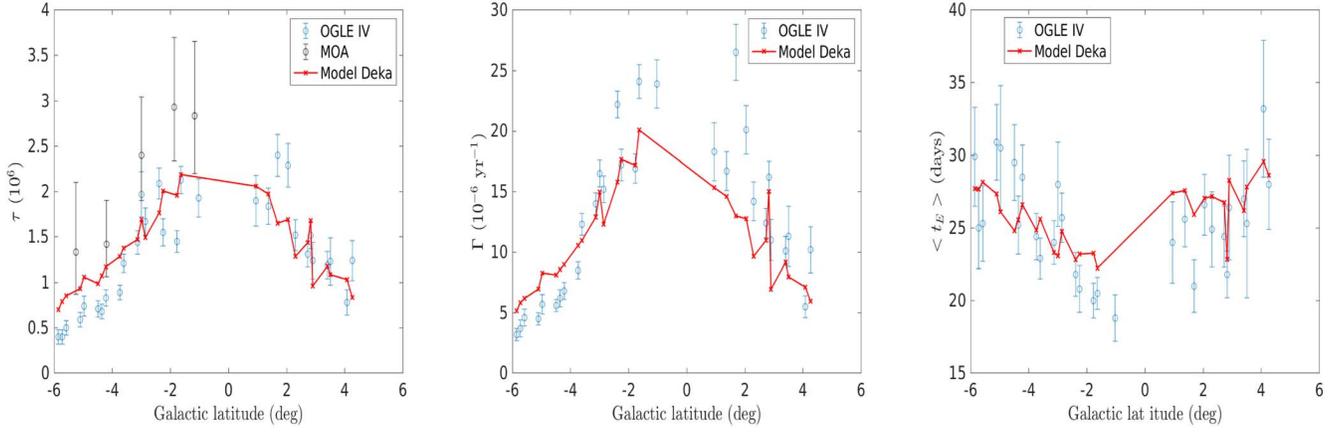
### C.3. Velocity Distribution

The optical depth does not depend on the velocity distribution and thus does not vary with the latter velocity distribution. In contrast, the velocity distribution affects not only the event rate but also the event distribution itself. Figure 6 and Table 1 present the results for different disk rotation velocities and a different velocity dispersion in the bulge. Decreasing the bulge or disk velocity dispersion decreases the number of short-time events. For the disk, we

have examined (i) the case  $\Theta_0 = 220 \text{ km s}^{-1}$  for the local standard of rest normalization in Equation (4) and (ii) the case  $V_{\text{rot}}(R) = \text{constant} = 220 \text{ km s}^{-1}$ . We have also examined the case  $\sigma_{\text{bulge}} = \sigma_{\text{BW}} = \text{constant} = 110 \text{ km s}^{-1}$  for the bulge. As seen in the figure, the impact on the event distribution remains almost negligible.

### C.4. Density of Source Stars

The choice of the density of the source stars,  $\rho_s$ , influences the distribution of  $t_E$ . Some Galactic surveys toward the bulge only include red clump giants as sources. These are very localized ( $D_s = 8.5\% \pm 10\%$  kpc; Moniez 2010). When considering such observations, we take  $\rho_s(D_s) = \rho_{\text{bulge}}(D_s)$  for the source density and  $\rho_L(D_s) = \rho_{\text{disk}}(D_s) + \rho_{\text{bulge}}(D_s)$  for the lens density, and  $\beta = 0$  in Equation (A25). For the general case, we take  $\rho_s = \rho_{\text{disk}} + \rho_{\text{bulge}}$  in both cases (see Section 4.1 and Appendix A.6). The impact of the parameter  $\beta$  upon  $\tau$ ,  $\Gamma$ ,  $\langle t_E \rangle$ , and the timescale distribution is examined in Sections 5.1 and 5.2.1. A larger value of  $\beta$  implies that more sources are visible, which increases the number of expected events and the optical depth. A value  $\beta = -1.2$  yields a nearly perfect agreement with the timescale histogram with  $f_s > 0.2$  and has thus been taken as our fiducial value. Note that the fact that  $\Gamma$  is underestimated for the central fields with a C05 IMF



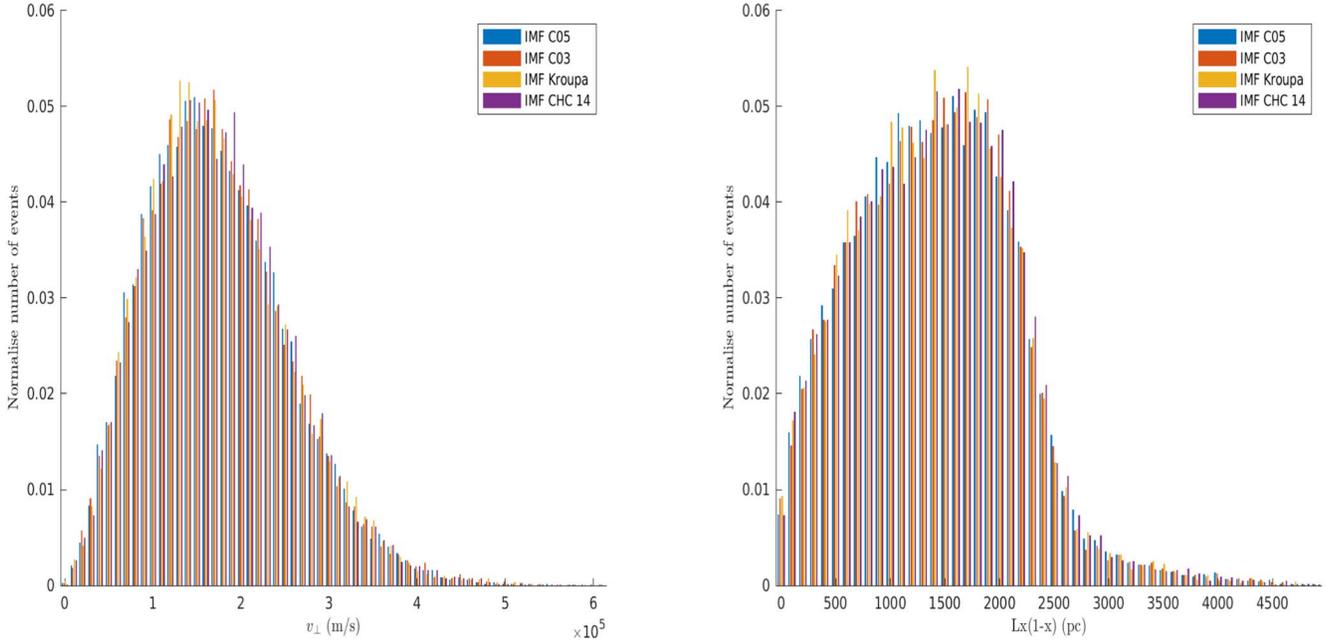
**Figure 7.** Optical depth,  $\tau$ , and event rate,  $\Gamma$ , with the model based on  $\delta$  Scuti stars (Deka et al. 2022) with a C05 IMF. Calculations with  $\beta = -1.0$  in Equation (A25) yield similar results.

(see Figure 1) is not surprising, since, as examined in Section 5.2.2, the IMF in the central fields departs for this IMF.

*C.5. Model Based on  $\delta$  Scuti Stars*

Recently, Deka et al. (2022) derived a 3D structure of the bulge using OGLE-IV  $\delta$  Scuti stars. The bar in this model is more inclined than that for our fiducial model, with  $\theta = 22^\circ$

instead of  $28^\circ$ , and is more compact, with normalized ( $a \equiv 1$ ) axis ratios ( $a : b : c$ ) = 1, 0.348, 0.421. The  $\tau$  and  $\Gamma$  values and the event timescale histogram distribution obtained with this model with the C05 IMF are displayed in Table 1 and Figure 7. As seen from this figure and Figure 1, the agreement with the OGLE-IV data for  $\tau$  and  $\Gamma$  is not as good as the one obtained with our fiducial model; we note the too-large values of  $\tau$  and  $\Gamma$



**Figure 8.** Comparison of the normalized number of events, without taking into account the experimental efficiency, as a function of  $v_\perp$  and  $D_S x(1 - x)$  for the four IMFs examined in Section 5.2.2.

obtained with this model, notably in the peripheral fields, a consequence of the more inclined and compact bar.

To conclude this section, it seems fair to say that the global uncertainty in our Galactic model can be considered as rather modest. A more thorough (combined) exploration of the different model parameters around our fiducial values involving a 3D model would probably yield a perfect agreement with all of the data. However, this goes beyond the present study, whose aim is to explore the impact of the IMF.

## Appendix D

### The Distributions of Transverse Velocity and Lens–Source Distance Modulus in the Central Fields

Figure 8 portrays the statistical distributions of the number of events for the OGLE-IV central fields survey as a function of  $v_{\perp}$  and  $D_S x(1-x)$ , respectively, before taking into account the experimental efficiency for the four IMFs examined in Section 5.2.2. As seen in the figure, the distributions are quite similar for all IMFs, demonstrating the fact that the effective probabilities  $P_{\text{eff}}(x)$  and  $P_{\text{eff}}(v_{\perp})$  (Equations (A16) and (A17)) do not depend on the mass. This ensures the validity of our Monte Carlo calculations. The atypical distribution in Figure 3 for the central fields thus really stems from a different underlying IMF.

## ORCID iDs

Gilles Chabrier  <https://orcid.org/0000-0002-8342-9149>

## References

- Andersen, M., Meyer, M. R., Greissl, J., & Aversa, A. 2008, *ApJL*, **683**, 183  
 Awiphan, S., Kerins, E., & Robin, A. C. 2016, *MNRAS*, **456**, 1666  
 Bahcall, J., & Soneira, R. M. 1980, *ApJS*, **44**, 73  
 Barbosa, C. E., Zariwsky, D., Donnerstein, R., et al. 2020, *ApJS*, **247**, 46  
 Barbuy, B., Chiappini, C., & Gerhard, O. 2018, *ARA&A*, **56**, 223  
 Bastian, N., Covey, K. R., & Meyer, M. R. 2010, *ARA&A*, **48**, 339  
 Bensby, T., Feltzing, S., Gould, A., et al. 2017, *A&A*, **605**, A89  
 Bournaud, F., Elmegreen, B., & Martig, M. 2009, *ApJL*, **707**, L1  
 Bouy, H., Tamura, M., Barrado, D., et al. 2022, *A&A*, **664**, A111  
 Brand, J., & Blitz, L. 1993, *A&A*, **275**, 67  
 Brunthaler, A., Reid, M. J., Menten, K. M., et al. 2011, *RvMA*, **23**, 105  
 Calamida, A., Sahu, K. C., Casertano, S., et al. 2015, *ApJ*, **810**, 8  
 Calchi Novati, S., de Luca, F., Jetzer, Ph., et al. 2008, *A&A*, **480**, 723  
 Cappellari, M., McDermid, R. M., Alatalo, K., et al. 2012, *Natur*, **484**, 485  
 Chabrier, G. 2003, *PASP*, **115**, 763 C03  
 Chabrier, G. 2005, *ASSL*, **327**, 41 C05  
 Chabrier, G., Hennebelle, P., & Charlot, S. 2014a, *ApJ*, **796**, 75  
 Chabrier, G., Johansen, A., Janson, M., & Rafikov, R. 2014b, in *Protostars and Planets VI*, 914 (Tucson, AZ: Univ. Arizona Press), 619  
 Clarkson, W., Sahu, K., Anderson, J., et al. 2008, *ApJ*, **684**, 1110  
 Conroy, C., & van Dokkum, P. G. 2012, *ApJ*, **760**, 71  
 Damian, B., Jose, J., Samal, M. R., et al. 2021, *MNRAS*, **504**, 2557  
 Debattista, V. P., Ness, M., Earp, S. W. F., & Cole, D. R. 2015, *ApJL*, **812**, L16  
 de Rujula, A., Jetzer, Ph., & Masso, E. 1991, *MNRAS*, **250**, 348  
 Deka, M., Deb, S., & Kurbah, K. 2022, *MNRAS*, **514**, 3984  
 Dekel, A., & Burkert, A. 2014, *MNRAS*, **438**, 1870  
 Dessauges-Zavadsky, M., Richard, J., Combes, F., et al. 2019, *NatAs*, **3**, 1115  
 Du, H., Mao, S., Athanassoula, E., Shen, J., & Pietrukowicz, P. 2020, *MNRAS*, **498**, 5629  
 Dwek, E., Arendt, R. G., Hauser, M. G., et al. 1995, *ApJ*, **445**, 716  
 Fukugita, M., Hogan, C. J., & Peebles, P. J. E. 1998, *ApJ*, **503**, 518  
 Glicenstein, J.-F. 2003, *NuPhS*, **118**, 527  
 Golovich, N., Dawson, W., Bartolić, F., et al. 2022, *ApJS*, **260**, 2  
 Gould, A. 2000, *ApJ*, **535**, 928  
 Gould, A. 2004, *ApJ*, **606**, 319  
 Gould, A., Jung, Y. K., Hwang, K.-H., et al. 2022, *JKAS*, **55**, 173  
 Gravity Collaboration, Abuter, R., Amorim, A., et al. 2021, *A&A*, **647**, A59  
 Grieco, V., Matteucci, F., Ryde, N., Schultheis, M., & Utenthaler, S. 2015, *MNRAS*, **450**, 2094  
 Gu, M., Greene, J. E., Newman, A. B., et al. 2022, *ApJ*, **932**, 103  
 Hasselquist, S., Zasowski, G., Feuillet, D. K., et al. 2020, *ApJ*, **901**, 109  
 Hennebelle, P., Commerçon, B., Lee, Y.-N., & Chabrier, G. 2020, *ApJ*, **904**, 194  
 Henry, T., & McCarthy, D. 1990, *ApJ*, **350**, 334  
 Hopkins, P. F. 2013, *MNRAS*, **433**, 170  
 Horta, D., Schiavon, R. P., Mackereth, J. T., et al. 2021, *MNRAS*, **500**, 1385  
 Iocco, F., Pato, M., Bertone, G., & Jetzer, P. 2011, *JCAP*, **11**, 29  
 Jurić, M., Ivezić, Ž., Brooks, A., et al. 2008, *ApJ*, **673**, 864  
 Kiraga, M., & Paczyński, B. 1994, *ApJL*, **430**, L101  
 Kirkpatrick, D., Gelino, C. R., Faherty, J. K., et al. 2021, *ApJ*, **253**, 7  
 Kirkpatrick, D., Martin, E. C., Smart, R. L., et al. 2019, *ApJ*, **240**, 19  
 Kroupa, P. 2001, *MNRAS*, **322**, 231K01  
 Kunder, A., Pérez-Villegas, A., Rich, R. M., et al. 2020, *AJ*, **159**, 270  
 Launhardt, R., Zylka, R., & Mezger, P. G. 2002, *A&A*, **384**, 112  
 Lian, J., Thomas, D., Maraston, C., et al. 2020a, *MNRAS*, **497**, 2371  
 Lian, J., Zasowski, G., Hasselquist, S., et al. 2020b, *MNRAS*, **497**, 3557  
 Majaess, D. J., Turner, D. G., & Lane, D. J. 2009, *MNRAS*, **398**, 263  
 Maraston, C. 1998, *MNRAS*, **300**, 872  
 McWilliam, A., & Rich, R. M. 1994, *ApJS*, **91**, 749  
 Méra, D., Chabrier, G., & Schaeffer, R. 1998, *A&A*, **330**, 937  
 Miret-Roig, N., Bouy, H., Raymond, S. N., et al. 2022, *NatAs*, **6**, 89  
 Moniez, M. 2010, *GRGr*, **42**, 2047  
 Moniez, M., Sajadian, S., Karami, M., et al. 2017, *A&A*, **604**, A124  
 Mróz, P., Udalski, A., Skowron, J., et al. 2017, *Natur*, **548**, 183  
 Mróz, P., Udalski, A., Skowron, J., et al. 2019, *ApJS*, **244**, 29  
 Mróz, P., Udalski, A., Szymański, M. K., et al. 2020, *ApJS*, **249**, 16  
 Nataf, D. M., Gould, A., Fouqué, P., et al. 2013, *ApJ*, **769**, 88  
 Navarro, M.-G. 2017, *ApJL*, **851**, 13  
 Nishiyama, S. 2013, *ApJL*, **769**, 28  
 Paczyński, B. 1996, *ApJ*, **304**, 1  
 Parravano, A., McKee, C. F., & Hollenbach, D. J. 2011, *ApJ*, **726**, 27  
 Pasetto, S., Grebel, E. K., Zwitter, T., et al. 2012a, *A&A*, **547**, A70  
 Pasetto, S., Grebel, E. K., Zwitter, T., et al. 2012b, *A&A*, **547**, A71  
 Peale, S. 1998, *ApJ*, **509**, 177  
 Portail, M., Wegg, C., Gerhard, O., & Ness, M. 2017, *MNRAS*, **470**, 1233  
 Queiroz, A. B. A., Chiappini, C., Pérez-Villegas, A., et al. 2021, *A&A*, **656**, A156  
 Reid, I. N., Gizis, J. E., & Hawley, S. L. 2002, *AJ*, **124**, 2721  
 Renzini, A., Gennaro, M., Zoccali, M., et al. 2018, *ApJ*, **863**, 16  
 Sahu, K., Anderson, J., Casertano, S., et al. 2022, *ApJ*, **933**, 83  
 Sajadian, S., & Poleski, R. 2019, *ApJ*, **871**, 205  
 Salpeter, E. 1955, *ApJ*, **121**, 161  
 Savino, A. 2020, *A&A*, **641**, 96  
 Schönrich, R., Aumer, M., & Sale, S. E. 2015, *ApJL*, **812**, 21  
 Smith, R. 2020, *ARA&A*, **58**, 577  
 Stanek, K. Z. 1995, *ApJL*, **441**, L29  
 Stanek, K. Z., Udalski, A., Szymański, M., et al. 1997, *ApJ*, **477**, 163  
 Sumi, T., Bennett, D. P., Bond, I. A., et al. 2013, *ApJ*, **778**, 150  
 Sumi, T., Kamiya, K., Bennett, D. P., et al. 2011, *Natur*, **473**, 349  
 Sumi, T., & Penny, M. T. 2016, *ApJ*, **827**, 139  
 Thies, I., & Kroupa, P. 2007, *ApJ*, **671**, 767  
 Treu, T., Auger, M. W., Koopmans, L. V. E., et al. 2010, *ApJ*, **709**, 1195  
 Udalski, A., Szymański, M. K., & Szymański, G. 2015, *AcA*, **65**, 1  
 van Dokkum, P. G. 2008, *ApJ*, **674**, 29  
 van Dokkum, P. G., & Conroy, C. 2012, *ApJ*, **760**, 70  
 Wegg, C., & Gerhard, O. 2013, *MNRAS*, **435**, 1874  
 Wegg, C., Gerhard, O., & Portail, M. 2017, *ApJL*, **843**, L5  
 Wegg, C., Gerhard, O., Portail, M., et al. 2015, *MNRAS*, **450**, 4050  
 Wood, A. 2007, *MNRAS*, **380**, 901  
 Wyrzykowski, L., Rynkiewicz, A. E., Skowron, J., et al. 2015, *ApJS*, **216**, 12  
 Zhao, H. 1996, *MNRAS*, **283**, 149  
 Zhao, H., & Mao, S. 1996, *MNRAS*, **283**, 1197  
 Zheng, Z., Flynn, C., Gould, A., et al. 2001, *ApJ*, **555**, 393  
 Zoccali, M. 2019, *BAAA*, **61**, 137