



# **Isolation and Characterization of a Non-Saccharomyces Yeast with Improved Functional Characteristics for Ethanol Production**

**Sandeep Kaur<sup>1\*</sup>, Harinder Singh Oberoi<sup>2</sup> and Rajpal Phutela<sup>3</sup>**

<sup>1</sup>Department of Agriculture, Maharishi Markandeshwar University, Ambala, Haryana, India.

<sup>2</sup>ICAR- Indian Institute of Horticultural Research, Bengaluru, India.

<sup>3</sup>Punjab Agricultural University, Ludhiana, India.

## **Authors' contributions**

*This work was carried out in collaboration between all authors. Authors RP and HSO designed the study being the major and minor guides of doctorate program of author SK. Author SK conducted the study and wrote the manuscript. Author HSO edited and contributed to the writing of the manuscript. All authors have read and approve the manuscript.*

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## **ABSTRACT**

**Aim:** To isolate a thermotolerant yeast strain with fermentation potential at elevated temperatures.

**Study Design:** Lab experimental design was used in the study.

**Methodology:** Yeasts were isolated from over-ripened fruits and naturally fermenting sugarcane juice. Four isolates showing relatively higher fermentation ability were screened for their fermentation potential. The selected strain was tested for its thermotolerance, osmotolerance as well as to work at varied pH.

**Results:** Isolate Y-4 produced relatively higher ethanol than the other isolates from 15 g l<sup>-1</sup> glucose. Scanning electron micrographs (SEM) of isolate Y-4 showed oval to spherical cells with diameter ranging from 4.5 to 6.2 µm. On the basis of the SEM images and 28S rRNA gene sequencing,

isolate Y-4 was identified as *Pichia kudriavzevii* and designated as *P. kudriavzevii* SK1. *P. kudriavzevii* SK1 metabolized glucose, galactose, mannose, maltose and fructose. It showed the potential to grow at a glucose concentration of 300 g l<sup>-1</sup> and ferment at 45°C, though the best results were obtained from 15-20 g l<sup>-1</sup> glucose at 35°C. Reference strain *Saccharomyces cerevisiae* MTCC 11815 produced low concentrations of ethanol under similar conditions. With 200 g l<sup>-1</sup> initial glucose concentration 86.1 and 87.9 g l<sup>-1</sup> ethanol was obtained in shake flasks and laboratory batch fermenter experiments, respectively at pH 5 at 35°C. This study revealed that *P. kudriavzevii* SK1 could be utilized for pilot scale fermentation for high gravity fermentations.

**Keywords:** Ethanol productivity; glucose concentration; non-*Saccharomyces* yeasts; *Pichia kudriavzevii*; thermotolerant yeasts.

## 1. INTRODUCTION

Yeasts, in particular *Saccharomyces cerevisiae* have been used since ancient times in brewing, alcohol production and baking processes [1]. From the commercial perspective, a strain capable of tolerating high ethanol and sugar concentrations and possessing invertase activity is desirable [2], especially in high gravity (VHG) fermentations which are common in the ethanol industry wherein the yeast cells are subjected to tolerate high sugar concentrations at the beginning of the fermentation process and high ethanol concentration at the end of the process [3].

Non-*Saccharomyces* yeast strains have normally been excluded from fermentation due to production of spoilage metabolites, off odours and low fermentative ability [4]. Some non-*Saccharomyces* yeast species have also been reported to improve the fermentation behaviour of yeast starter cultures and the analytical composition of wine, in terms of more complex aroma [5,6]. Many non-*Saccharomyces* strains have been commercialized and are available in ethanol [7], beer [8] and wine making.

Even though *Saccharomyces* spp. are being used for alcoholic fermentation but due to limitations such as low sugar and ethanol tolerance and low fermentative ability at elevated temperatures, non-*Saccharomyces* spp. are being explored. *Kluyveromyces* and *Pichia* spp. have the potential to ferment sugars at higher temperatures ( $\geq 40^\circ\text{C}$ ) and tolerate high ethanol concentration [9]. A thermotolerant *Pichia kudriavzevii* strain produced 35.5 g l<sup>-1</sup> and 33.8 g l<sup>-1</sup> ethanol [10] at 37°C and 40°C, respectively.

A strain that produces a favourable metabolite, thereby enhancing the quality of final product can be selected for industrial application [11]. Therefore, the present work was designed to

isolate, identify and characterize the non-*Saccharomyces* strain to assess its ethanol production potential for laboratory and pilot scale.

## 2. MATERIALS AND METHODS

### 2.1 Materials

The yeast samples were isolated from over-ripened grapes, apples, pears and naturally fermenting sugarcane juice. Standards for sugars (glucose, fructose, sucrose, xylose, arabinose, galactose and rhamnose) used during the HPLC determination were procured from Sigma- Aldrich (St. Louis, MO, USA). Chemicals used during analytical work and preparation of media were procured from Fisher Scientific (Mumbai, India) and Hi-Media Laboratories (Mumbai, India), respectively. Carbohydrate assimilation capacity of cells to metabolize different sugars and urease activity were analyzed using KB009 Hi-Carbohydrate and KB006 Hi-Candida kits, respectively (Hi-Media Laboratories Pvt. Ltd, Mumbai, India). Reference strain *Saccharomyces cerevisiae* MTCC 11815 was procured from Department of Microbiology, Punjab Agriculture University, Ludhiana, Punjab, India.

#### 2.1.1 Analytical methods

Yeast cell count was estimated with a haemocytometer (Hausser Sci., USA) and the cell viability was assessed by staining the cells with 0.1% methylene blue solution [12]. Reducing sugars were determined by the dinitrosalicylic acid (DNS) method [13]. Glucose and ethanol were determined with HPLC [Ultimate 3000, Dionex Corporation, Sunnyvale, CA, USA] [14] using RI detector and quantified based on the area and retention time of the standards.

### 2.1.2 Isolation of yeasts

The yeast cultures were isolated from rotten fruits viz. apple, grapes and pears and naturally fermenting sugarcane juice. The fruits were allowed to rot at room temperature, and the extracted juice was collected in sterile containers aseptically. The juice was appropriately diluted and plated on glucose yeast peptone agar (YPD). YPD medium containing ( $\text{gl}^{-1}$ ) glucose (20), peptone (10), yeast extract (5), and agar (15) was used to isolate yeasts by pour plate method. Initial pH of the medium was adjusted to 5.0 with 5 N HCl or NaOH. Morphologically characteristic yeast colonies were picked; cultures were purified by streaking and preserved on YPD agar slants. The potential for ethanol production of selected isolates was tested in a medium containing ( $\text{gl}^{-1}$ ) glucose (50), peptone (20), yeast extract (20) and  $\text{MgSO}_4$  (20) at pH 5 [7]. All the flasks were incubated at 30°C in an incubator shaker which was maintained at 100 rpm. Samples were periodically drawn at 6 h interval till 48 h and analysed for ethanol and glucose concentrations. Fermentation efficiency of the isolates was calculated in terms of ethanol produced using following formula:

$$\text{For fermentation efficiency (\%)} = \frac{\text{Actual ethanol produced}}{\text{Theoretical ethanol produced}} \times 100$$

Theoretical ethanol produced (w/w) = total fermentable sugars X 0.51 (using the stoichiometry where 1 g of sugars (glucose) produces 0.51 g of ethanol)

The selected isolates were further compared for their ethanol producing abilities at relatively higher glucose concentration of 150  $\text{gl}^{-1}$  at 35°C while maintaining the pH at 5. The temperature was raised from 30 to 35°C with the aim of isolating a thermotolerant strain.

### 2.1.3 Identification of the screened yeast isolate

The screened and selected yeast isolate was grown for 24 h at 30°C in 50 ml YPD broth and DNA was extracted [15]. The D1/D2 region of the large sub-unit (LSU) of the 28S rDNA region was amplified with PCR using forward primer 5'ACCCGCTAACTTAAGC3' and reverse primer 3'GGTCCGTGTTTCAAGACGG5'. The PCR amplified products were then purified (Qiagen

Mini elute Gel extraction kit) and sequenced (ABI 3730xl Genetic Analyzer (Applied Biosystems, USA) [16].

### 2.1.4 Biochemical and microscopic characterization of selected yeast isolate

Resistance to 1% acetic acid, 0.01% and 0.1% cycloheximide was assessed by incorporation of acetic acid and cycloheximide at concentrations mentioned above in the sterilized YPD broth flasks that were inoculated with the selected strain. Flasks were incubated at 30°C for 24 h (200 rpm). All the experiments were performed in triplicates. The scanning electron microscope studies were performed as per Bozolla and Russell [17].

### 2.2 Comparative Evaluation of Ethanol Production

Fermentative ability of *P. kudriavzevii* SK1 cells was compared with that of *S. cerevisiae* MTCC 11815 cells in ethanol production using synthetic medium. Inoculum preparation and incubation conditions remained same as described previously for *S. cerevisiae* MTCC 11815 and *P. kudriavzevii* SK1. Flasks containing 150 ml fermentation medium composed of ( $\text{gl}^{-1}$ ) glucose (150), yeast extract (20), peptone (20) and  $\text{MgSO}_4$  (20) [7]. Inoculum (10 ml) having  $1 \times 10^8$  cells/ml was used. Experiments were performed using one factor at a time approach with glucose concentration varying between 100-300  $\text{gl}^{-1}$ , pH varying between 3 to 6 and temperature ranging from 25-45°C.

### 2.3 Ethanol Production by *P. kudriavzevii*

On the basis of the comparative evaluation results, an experiment was performed with *P. kudriavzevii* SK1 at 200  $\text{gl}^{-1}$  glucose concentration (obtained by saccharification of rice carried out at 20% substrate in water, pH 5.3, temperature 55°C and enzymes, 30 IU/g  $\alpha$ -amylase and 50 IU/g glucoamylase (standardized on basis of preliminary trials in our laboratory), initial pH and incubation temperature of 5.0 and 35°C, respectively at 200 rpm. Samples were drawn regularly at 6 h interval up to 60 h and analyzed for glucose and ethanol concentrations. All the experiments were conducted in triplicates and the data were analyzed statistically.

## 2.4 Ethanol Production in Laboratory in Fermenter

On the basis of the preliminary results, ethanol production was carried out in 2.5 L batch reactor (Minifors, Infors HT, Switzerland). About 1600 ml broth containing approx. 200  $\text{gl}^{-1}$  glucose (obtained by saccharification of rice as described previously) was supplemented with 2  $\text{gl}^{-1}$  yeast extract, 2  $\text{gl}^{-1}$  peptone and 2  $\text{gl}^{-1}$   $\text{MgSO}_4 \cdot \text{H}_2\text{O}$ . After sterilization and cooling, pH of the medium was adjusted to 5.0 with the sterilized 5 N HCl solution and the medium after cooling was inoculated with 10% (v/v) yeast cells at a cell concentration of  $1 \times 10^8$  cells/ml. Agitation, pH and temperature were maintained at 100 rpm, 5.0 and 35°C, respectively throughout the fermentation process. Samples were drawn regularly at 6 h intervals up to 60 h and analysed for glucose and ethanol concentrations. The experiment was conducted three times in the same fermenter and results were statistically analysed.

## 3. RESULTS AND DISCUSSION

Twenty yeast isolates were selected on the basis of microscopic examination and their pure cultures were maintained on YPD – agar slants. Selected isolates were tested for different characteristics, such as growth in presence of 5% ethanol and ethanol production potential. Seventeen isolates were able to grow at 30°C in presence of 5% ethanol but only 13 could ferment glucose to ethanol. Out of the 13 isolates, four isolates, Y-4, Y-6, Y-10 and Y-15 showed characteristic diversity in terms of colony and cell morphology and also high cell count in the range of  $1 \times 10^8$  cells/ml or more in 48 h as compared to the remaining isolates. Y-4, Y-6, Y-10 and Y-15 produced 23.1, 22.0, 22.7 and 21.0  $\text{gl}^{-1}$  ethanol (Table 1), respectively from an initial 50  $\text{gl}^{-1}$  glucose concentration, which was relatively higher (>80% fermentation efficiency) than the other isolates that showed capability to ferment glucose to ethanol in presence of ethanol. On the basis of final ethanol concentration obtained, the above four isolates were selected for further studies.

### 3.1 Ethanol Production by the Selected Yeast Isolates

To select the strain which showed highest fermentation efficiency, the four yeast isolates were further compared for their ethanol producing abilities at relatively higher glucose

concentration of 150  $\text{gl}^{-1}$  and temperature of 35°C. Isolate Y-4 produced 68.0  $\text{gl}^{-1}$  ethanol showing highest fermentation efficiency of 88.92% as compared to the other three isolates. Ethanol production leveled off after 36 h for all the four isolates corresponding to ethanol productivity of 1.9, 1.7, 1.7, 1.4 g/L/h for isolates Y-4, Y-6, Y-10 and Y-15, respectively (Table 1). High glucose consumption and ethanol yield are known to be indicators of osmotolerance by yeasts [18]. On the basis of high ethanol producing ability isolate Y-4 was selected for further fermentation studies.

### 3.2 Identification of Isolate Y-4

Sequencing and analysis of the 28s rRNA region of the yeast strain revealed that this region had the highest identity with *I. orientalis* F701. Phylogenetic relationships were drawn using the alignment and cladistic analysis of homologous nucleotide sequences of known microorganisms. The isolated yeast strain belonged to the same branch as *I. orientalis* F701 with 100% homology in the 28s rRNA region. On the basis of the morphology and the comparison of 28s rRNA gene sequence, the isolated yeast strain was confirmed *P. kudriavzevii* and was designated as *P. kudriavzevii* SK1. The 28s rRNA gene sequences for *P. kudriavzevii* were submitted to GenBank with accession number JX537791.1. The species ascribed to genus *Issatchenkia* has been clustered within *Pichia*, and thus all isolates of *Issatchenkia* are replaced with *P. kudriavzevii* for taxonomic entity [19,20].

### 3.3 Biochemical and Microscopic Characteristics of the Selected Isolate

*P. kudriavzevii* SK1 cells were able to utilize maltose, fructose, dextrose, galactose and mannose, but were unable to use xylose, raffinose, sucrose, trehalose, arabinol as carbon source. Microscopic observations revealed that the yeast cell produced ascospores. The isolate could not grow in presence of cycloheximidine and lacked lipolytic activity and was unable to metabolize starch.

### 3.4 Comparison of Ethanol Production by *P. kudriavzevii* SK1 and *S.cerevisiae* MTCC11815

Initial sugar concentration is known to have a detrimental effect on fermentation performance, effecting yeast physiology and altering the

**Table 1. Ethanol production by yeast isolates**

Isolate	Ethanol			
	Concentration (gl <sup>-1</sup> )	Efficiency (%)	Concentration (gl <sup>-1</sup> )	Efficiency (%) (w/v)
Y-4	23.08	90.50	68.03	88.92
4-6	21.96	86.11	59.4	77.64
Y-10	22.66	88.86	62.64	81.88
Y-15	21.03	82.47	51.84	67.76
	C.D (5%) = 3.59 Error = ±0.44		C.D (5%) = 1.73 Error = ±0.52	
	Initial sugar concentration: 50 gl <sup>-1</sup> Temperature: 30 °C pH: 5		Initial sugar concentration: 150 gl <sup>-1</sup> Temperature: 35 °C pH: 5	

physical and flavour properties. It was observed that final ethanol concentration obtained after 60 h fermentation increased with increase in initial substrate concentration from 100 to 200 gl<sup>-1</sup> for two strains at pH 5 and 35°C (Table 2). However, ethanol production rate decreased at 250 and 300 gl<sup>-1</sup> glucose concentrations during fermentation, though ethanol concentration was found to be higher from higher glucose concentrations at the end of fermentation period. The fermentation efficiency decreased from 96.5% to 77.28% as initial sugar concentration increased from 100 gl<sup>-1</sup> to 300 gl<sup>-1</sup>. *P. kudriavzevii* SK1 produced about 20% higher ethanol as compared to *S. cerevisiae* MTCC11815 after 48 h of fermentation. It is noteworthy to mention here that the time taken to complete fermentation was 60 h with initial glucose concentration of 30 gl<sup>-1</sup>, while fermentation could be completed in 48 h at initial glucose concentration of 20 gl<sup>-1</sup> or less.

The two strains were tested for ethanol fermentation ability with changes in pH (3-6) (Table 2) from 200 gl<sup>-1</sup> initial sugars and 35°C. Significant difference in ethanol production was not seen at pH of 3 or 3.5 for either of the isolates with *P. kudriavzevii* SK1 producing a slightly higher ethanol than *S. cerevisiae*. Both the strains showed maximum ethanol production at pH 5 with *P. kudriavzevii* SK1 producing about 22% more ethanol than *S. cerevisiae* MTCC11815.

It was observed that similar ethanol concentration at temperatures of 25 and 30 °C (initial sugars 200 gl<sup>-1</sup> pH 5) but as the temperature increased, efficiency of *S. cerevisiae* MTCC11815 decreased and it could produce only 53.54 gl<sup>-1</sup> ethanol at 35°C with ethanol concentration further declining to 20.9 gl<sup>-1</sup> and 10 gl<sup>-1</sup> at 40 and 45°C respectively (Table 2). *P. kudriavzevii* SK1 however produced

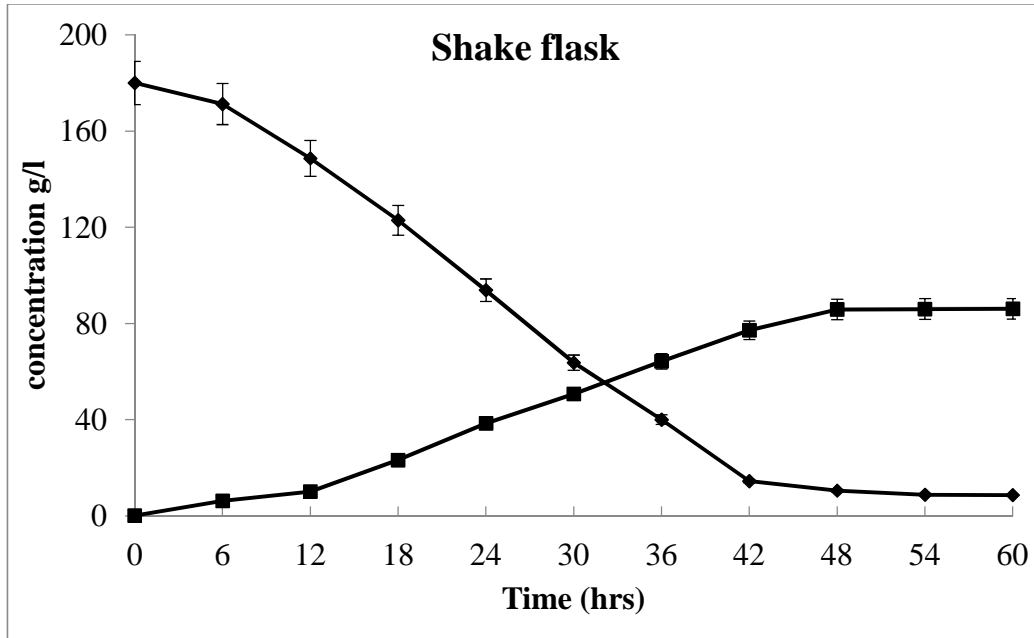
ethanol in concentrations ranging between 80-91 gl<sup>-1</sup> at temperatures ranging from 25-35°C. These results confirmed that *P. kudriavzevii* SK1 showed higher fermentation efficiency than *S. cerevisiae* MTCC11815 at 35°C. *P. kudriavzevii* SK1 produced 60.5 gl<sup>-1</sup> and 43.8 gl<sup>-1</sup> ethanol at 40 and 45°C, respectively in 48 h, which were nearly three times higher than the ethanol concentration at 40°C and about five times higher at 45°C produced by the standard reference isolate. Even at 35°C, ethanol concentration after 48 h was twice for *P. kudriavzevii* SK1 as compared to *S. cerevisiae* MTCC11815. However, in most of the cases, ethanol concentration leveled off after 48 h which could be because of the depletion of nutrients, stress due to prolonged growth and production of certain toxic metabolites in the medium. Techaparin et al. [21] isolated *P. kudriavzevii* strains which were able to ferment ethanol upto 45°C. Yuansgard et al. [22] obtained 70.6 gl<sup>-1</sup> ethanol at 40°C from 180 gl<sup>-1</sup> initial sugars using *P. kudriavzevii* isolates.

### 3.5 Ethanol Production by *P. kudriavzevii* SK1 in a Laboratory Fermenter

In shake flasks and in laboratory fermenter 86.1 and 87.9 gl<sup>-1</sup> ethanol concentration (Fig. 1), (Fig. 2) was obtained at 48 h. The respective fermentation efficiencies were 93.7 and 95.75% and ethanol productivity at 48 h in shake flasks and batch fermenter were 1.79 and 1.83 g/L/h, respectively. Although no significant difference in ethanol concentration was observed in shake flask and laboratory fermenter, higher ethanol productivity was obtained with laboratory fermenter. Ethanol production rate decreased after 36 h of fermentation and leveled off after 48 h. The results are in consonance with the previously reported results [14] wherein higher ethanol concentration in laboratory fermenter was reported compared to shake flasks under

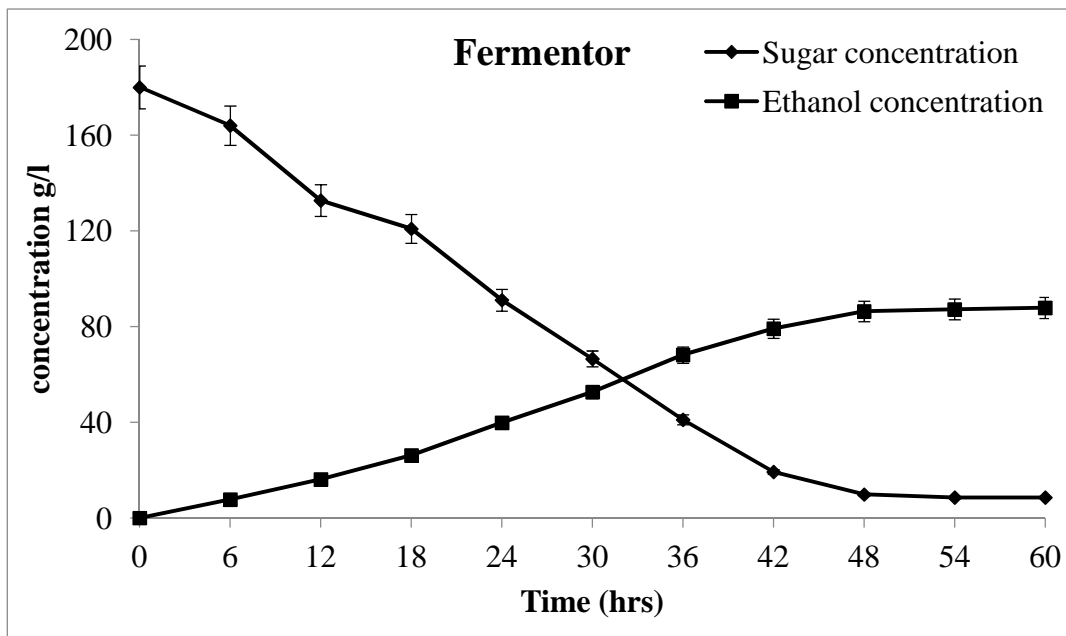
the same set of conditions. Kaewkrajay et al. [23] reported ethanol concentration of 42.4 g/l after 48 h at 45°C using a thermotolerant strain

of *P. kudriavzevii* in a 7 l jar fermenter. This study showed that *P. kudriavzevii* SK1 could be used in higher scale of operation.



**Fig. 1. Ethanol production and glucose consumption by *Pichia kudriavzevii* SK1 in shake flasks**

Initial glucose/sugar concentration: 180 g/l (Obtained from saccharification of 20% rice); pH: 5; Temperature: 35°C



**Fig. 2. Ethanol production and glucose consumption by *Pichia kudriavzevii* SK1 in laboratory fermenter**

Initial glucose/sugar concentration: 180 g/l (Obtained from saccharification of 20% rice); pH: 5; Temperature: 35°C

Table 2. Ethanol produced by *P. kudriavzevii* SK1 and *S.cerevisiae* MTCC 11815

Glucose (gl <sup>-1</sup> )	Ethanol (gl <sup>-1</sup> )		pH	Ethanol(gl <sup>-1</sup> )		Temp (°C)	Ethanol(gl <sup>-1</sup> )	
	<i>P. kudriavzevii</i> SK1	<i>S. cerevisiae</i> MTCC 11815		<i>P. kudriavzevii</i> SK1	<i>S. cerevisiae</i> MTCC 11815		<i>P. kudriavzevii</i> SK1	<i>S. cerevisiae</i> MTCC 11815
100	49.24 ± 0.40 (48)	30.96 ± 0.57 (48)	3	23.47 ± 0.24 (48)	23.17 ± 0.16 (48)	25	80.98 ± 0.17 (48)	78.23 ± 0.65 (48)
150	69.73 ± 0.52 (48)	40.63 ± 0.39 (60)	3.5	32.23 ± 0.22(48)	26.88 ± 0.24 (48)	30	90.93 ± 0.08 (48)	88.44 ± 0.5 (54)
200	90.62 ± 0.33 (54)	54.83 ± 0.36 (54)	4	39.81 ± 0.22 (48)	35.24 ± 0.25 (48)	35	90.46 ± 0.25 (48)	53.54 ± 0.17 (60)
250	104.83 ± 0.66 (54)	80.08 ± 0.27 (54)	4.5	48.6 ± 0.6 (60)	40.06 ± 0.13 (60)	40	60.59 ± 0.34 (48)	20.96 ± 0.12 (48)
300	118.24 ± 0.63 (54)	95.64 ± 1.06 (54)	5	89.56 ± .23 (54)	53.75 ± .10 (48)	45	43.8 ± 0.1 (60)	9.64 ± 0.1 (54)
			5.5	85.51 ± 0.38 (54)	62.28 ± 0.21 (48)			
			6	75.86 ± 0.41 (54)	58.14 ± 0.16 (48)			
Temperature = 35°C pH= 5				Initial substrate concentration = 200 gl <sup>-1</sup> Temperature = 35°C			Initial substrate concentration = 200 gl <sup>-1</sup> pH= 5	

Values in brackets indicate the time taken in hours to obtain the highest ethanol production

#### 4. CONCLUSION

*P. kudriavzevii* SK1, a non-*Saccharomyces cerevisiae* strain showed the potential to ferment sugars at substrate concentration (100-250 g l<sup>-1</sup>), pH (4.5-6.5) and temperature (25-45°C) giving best results at 200 g l<sup>-1</sup> substrate concentration, pH 5 and temperature 35°C. From 180 g l<sup>-1</sup> glucose (obtained after saccharification of rice starch) at 35°C, *P. kudriavzevii* SK1 produced 87.9 g l<sup>-1</sup> ethanol with a fermentation efficiency of about 95.75% in a laboratory fermenter resulting in a volumetric productivity of 1.8 g/L/h, thereby showing potential for commercial exploration.

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#### COMPETING INTERESTS

Authors have declared that no competing interests exist.

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